



Can We Make Trusted Systems Trustworthy?

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Sydney, Australia**



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Windows

An exception 06 has occurred at 0028:C11B3ADC in VxD DiskTSD(03) + 00001660. This was called from 0028:C11B40C8 in VxD voltrack(04) + 00000000. It may be possible to continue normally.

- * Press any key to attempt to continue.
- * Press CTRL+ALT+RESET to restart your computer. You will lose any unsaved information in all applications.

Press any key to continue

Present Systems are *NOT* Trustworthy!



What's Next?



So, why don't
we prove
trustworthiness
?

Claim:

**A system must be considered *untrustworthy* unless
proved otherwise!**

Corollary [with apologies to Dijkstra]:

Testing, code inspection, etc. can only show
lack of trustworthiness!

Core Issue: Complexity

- Massive functionality of C devices
⇒ huge software stacks

- How secure are your payments?



- Increasing usability requirements

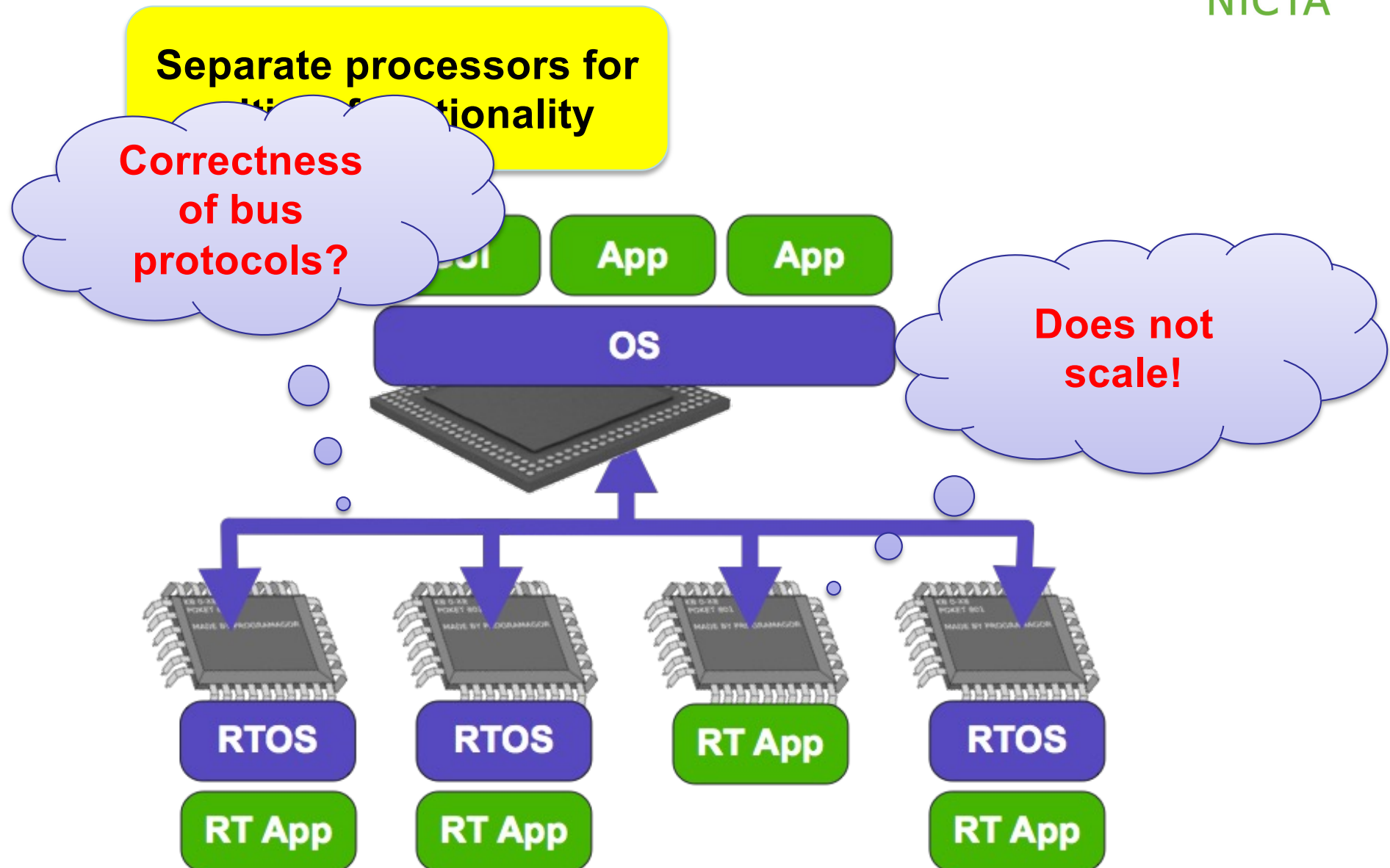
- Wearable or implanted
 - Patient-operated
 - GUIs next to life-critical

Systems far too complex to prove their trustworthiness!

- On-going integration of new systems
- Automotive infotainment and navigation
 - Gigabytes of software on 100 CPUs...



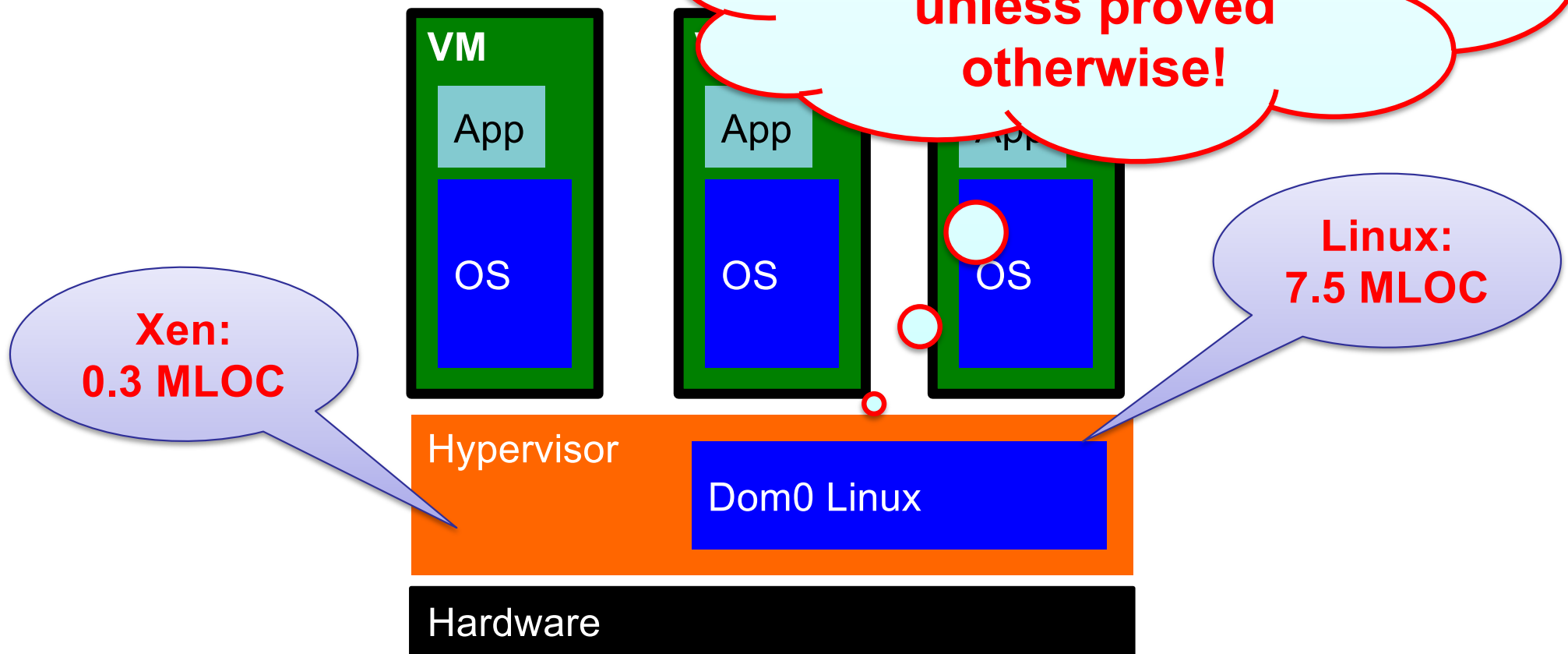
Dealing with Complexity: Physical Isolation



How About Logical Isolation?

Shared processor with
software isolation

**Remember: A system
is *not* trustworthy
unless proved
otherwise!**



Our Vision: Trustworthy Systems



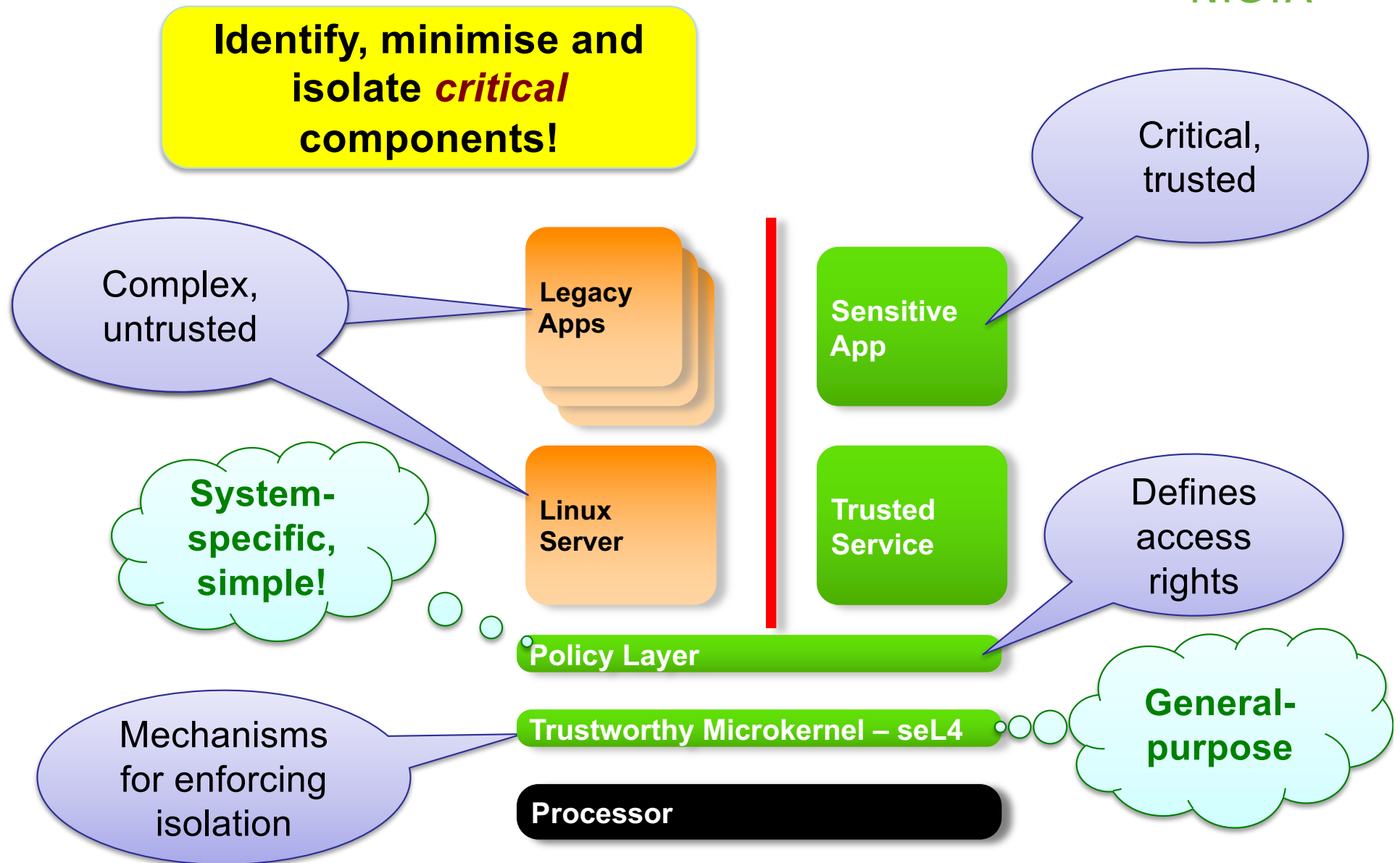
Suitable for
real-world
systems

We will change the *practice* of designing and implementing critical systems, using rigorous approaches to achieve *true trustworthiness*

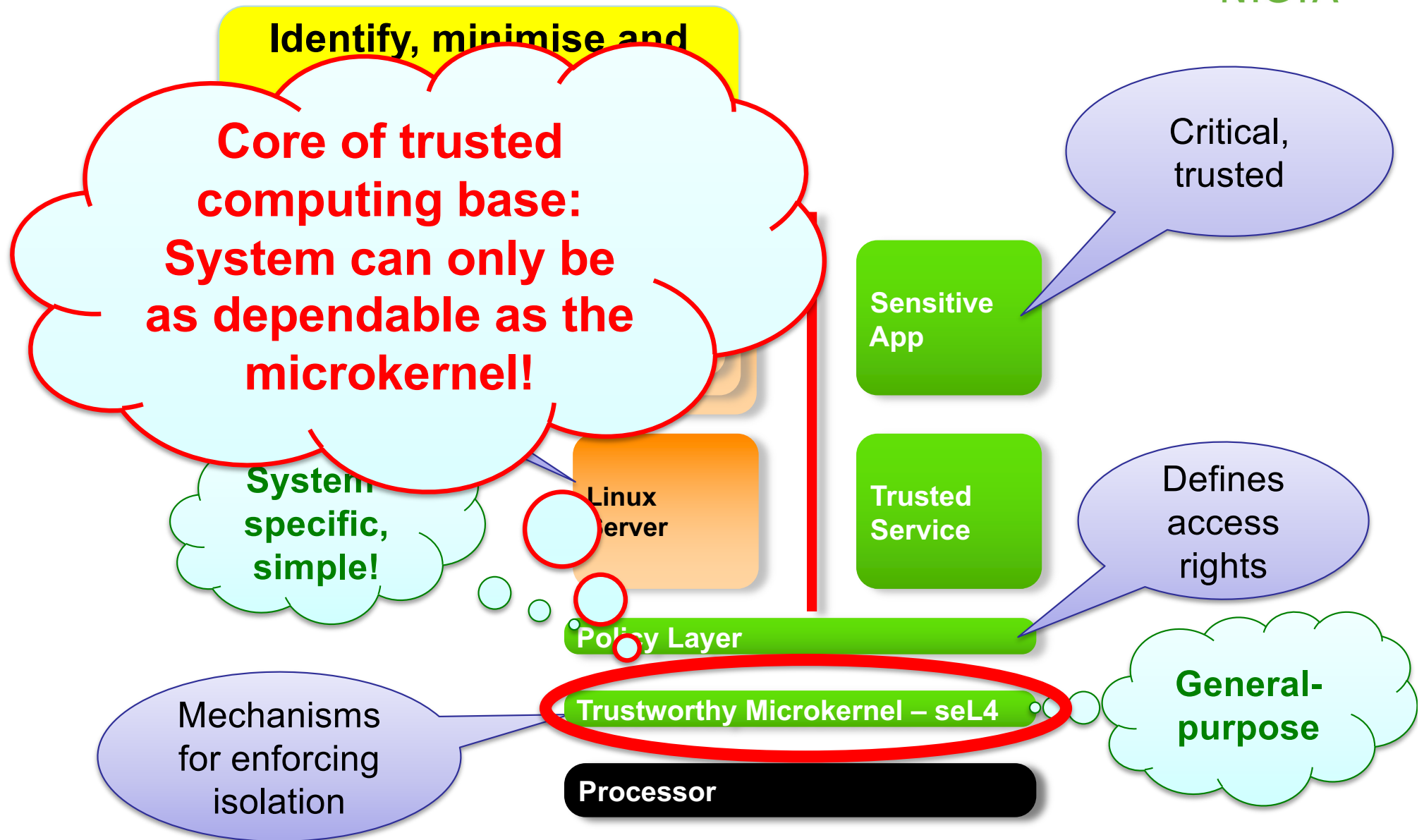
Hard
guarantees on
safety/security/
reliability



Isolation is Key!



Isolation is Key!



NICTA Trustworthy Systems Agenda



1. Dependable microkernel (seL4) as a rock-solid base

- Formal specification of functionality
- Proof of functional correctness of implementation
- Proof of safety/security properties



2. Lift microkernel guarantees to whole system

- Use kernel correctness and integrity to guarantee critical functionality
- Ensure correctness of balance of trusted computing base
- Prove dependability properties of complete system
 - despite 99 % of code untrusted!

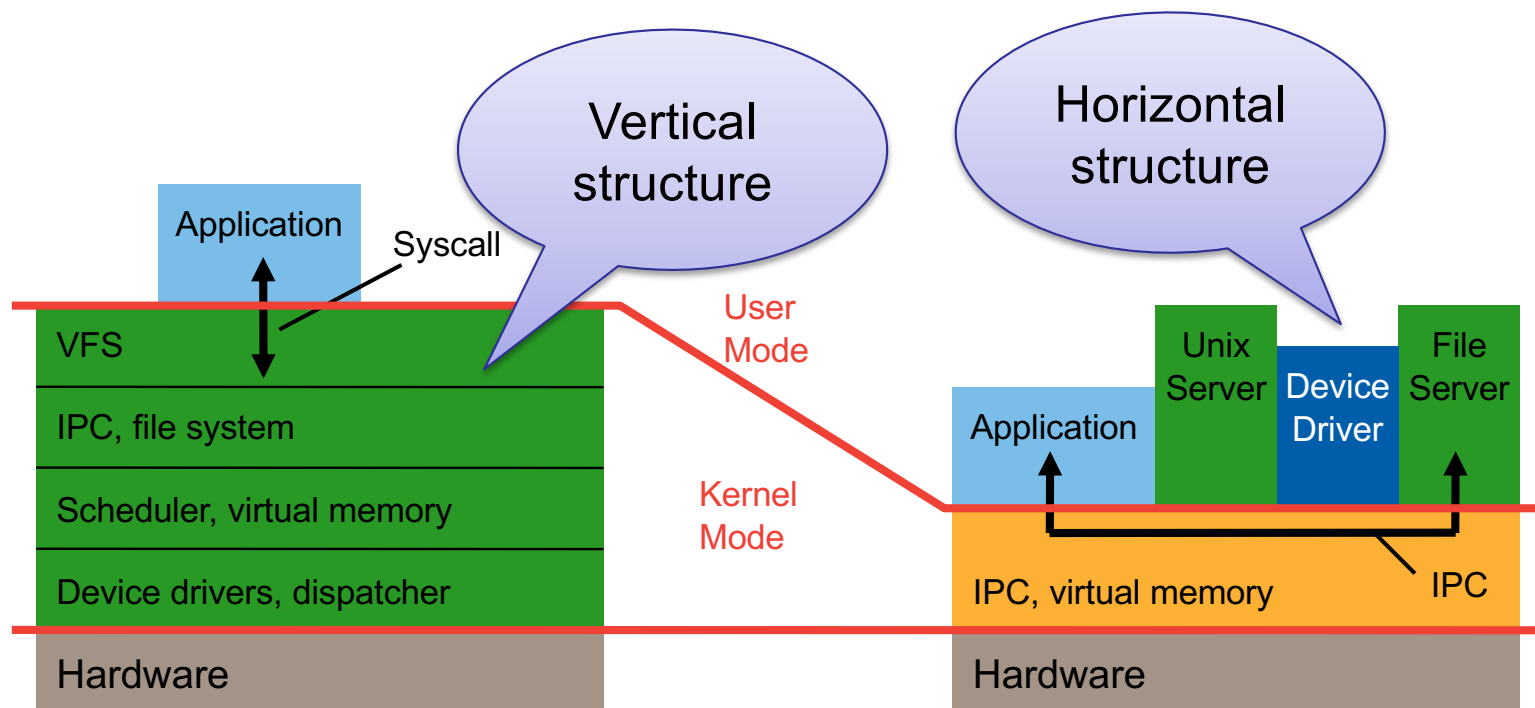


Agenda



- Motivation
- **Microkernels and seL4 design**
- Establishing trustworthiness
- From kernel to system
- Sample system: Secure access controller

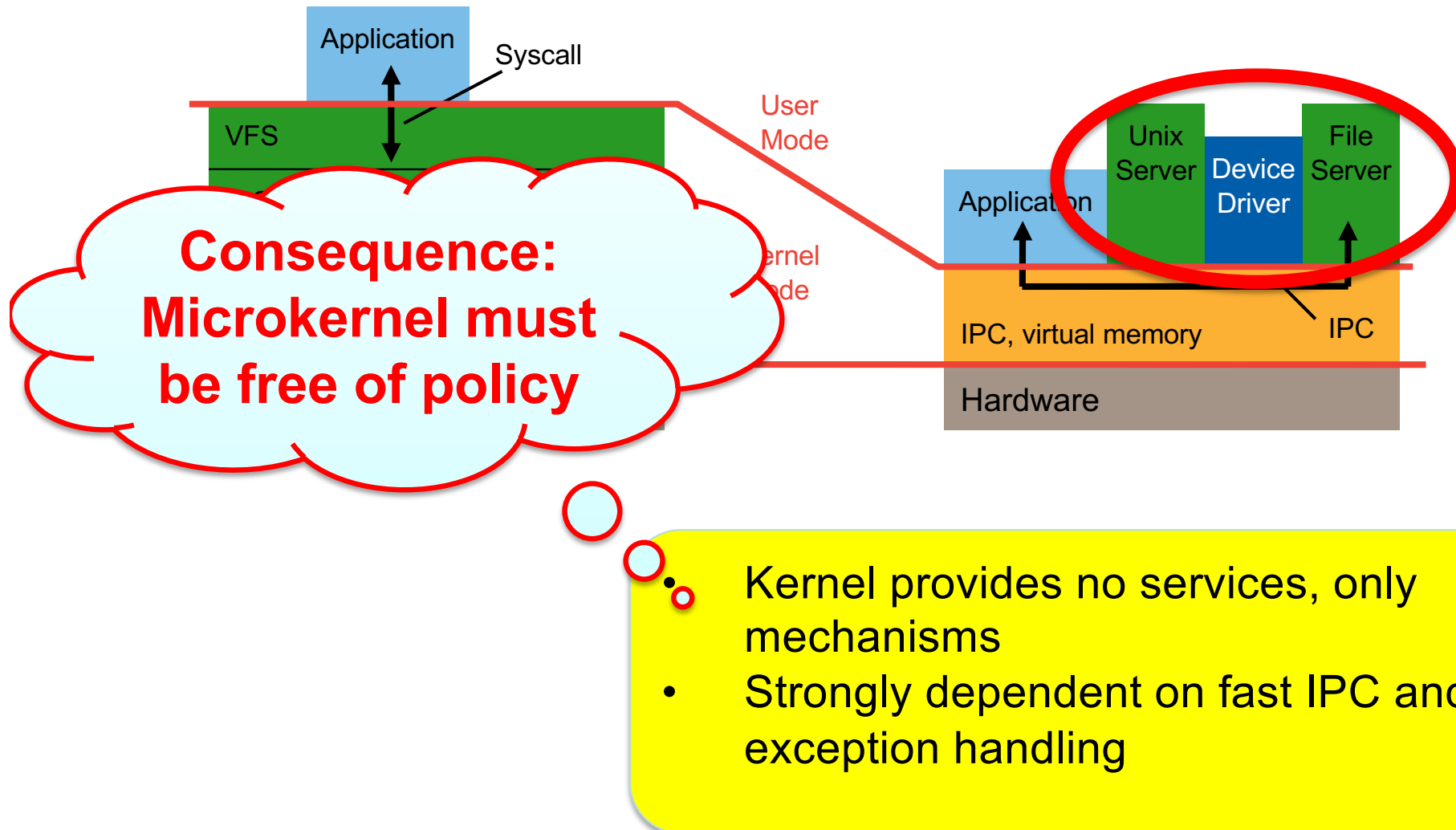
Monolithic Kernels vs Microkernels



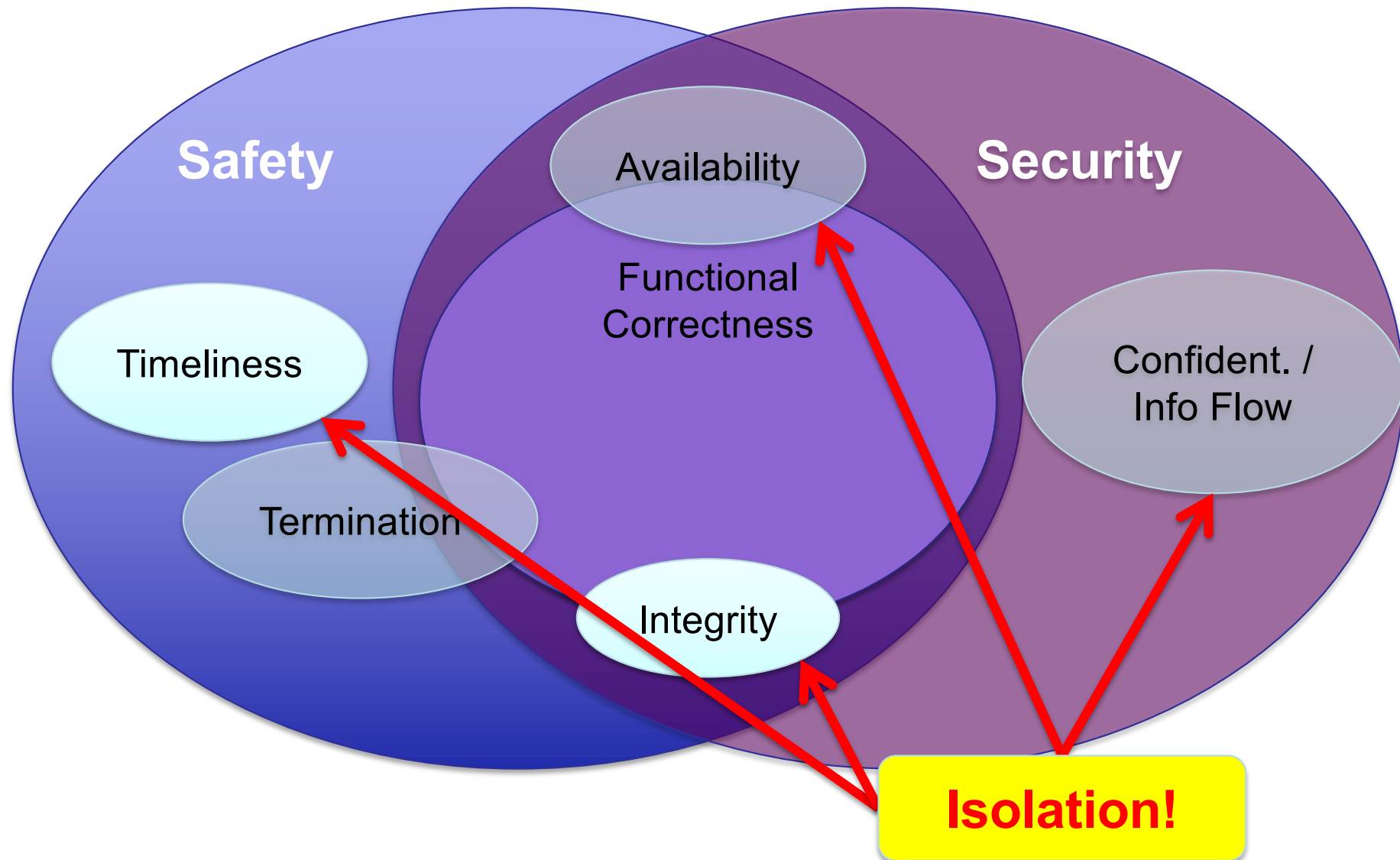
Idea of microkernel:

- Flexible, minimal platform, extensible
- Mechanisms, not policies
- Goes back to Nucleus [Brinch Hansen, CACM'70]

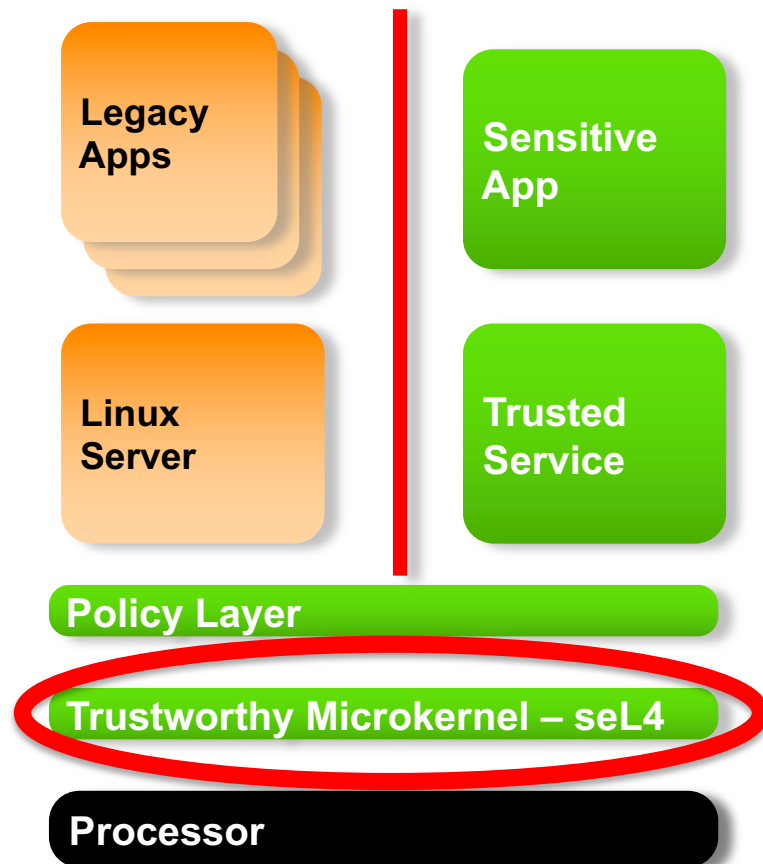
Consequence of Minimality: User-level Services



Requirements for Trustworthy Systems



seL4 Design Goals



1. **Isolation**
 - Strong partitioning!
2. **Formal verification**
 - Provably trustworthy!
3. **Performance**
 - Suitable for real world!

Fundamental Design Decisions for seL4



1. Memory management is user-level responsibility

- Kernel never allocates memory (post-boot)
- Kernel objects controlled by user-mode servers

Isolation

2. Memory management is fully delegatable

- Supports hierarchical system design
- Enabled by *capability-based access control*

Performance

3. “Incremental consistency” design pattern

- Fast transitions between consistent states
- Restartable operations with progress guarantee

Real-time

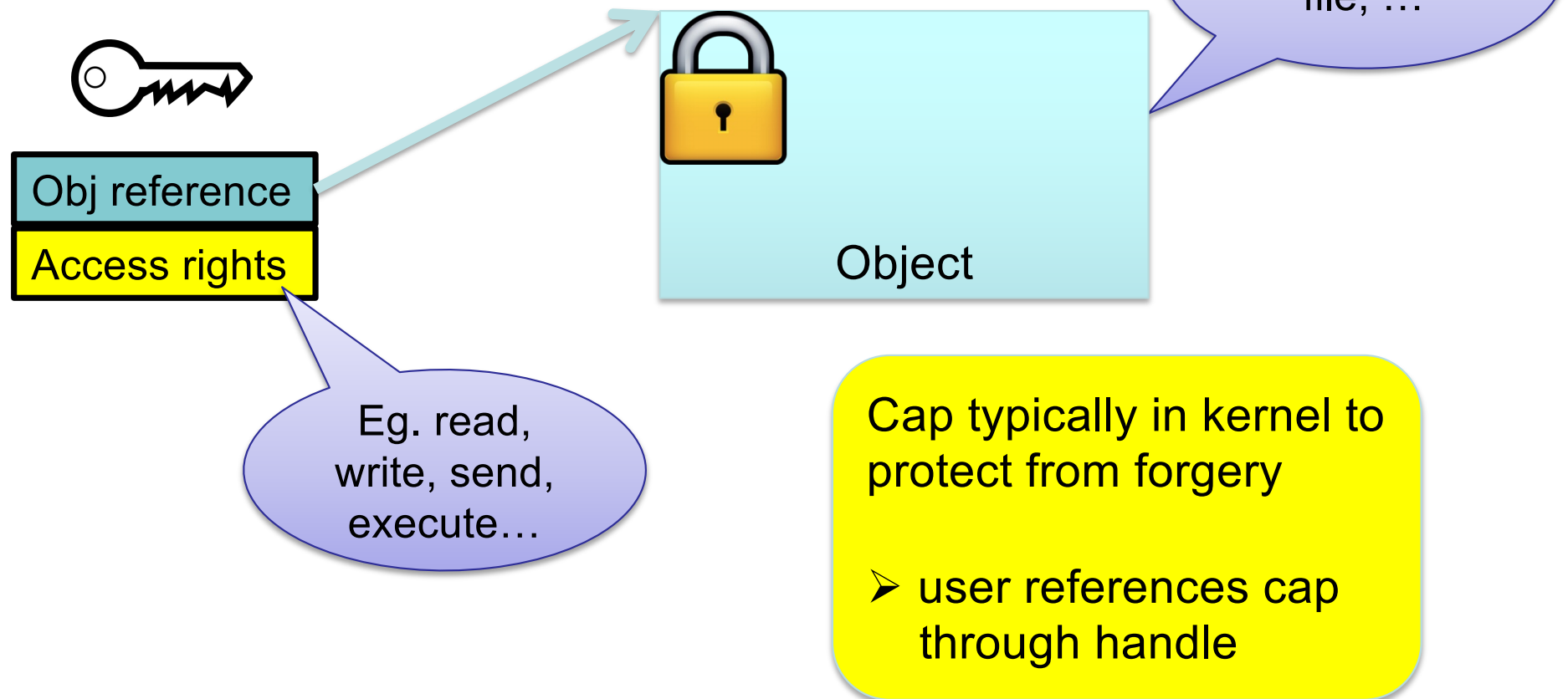
4. No concurrency in the kernel.

- Interrupts never enabled in kernel
- Interruption points to bound latencies
- Clustered multikernel design for multicores

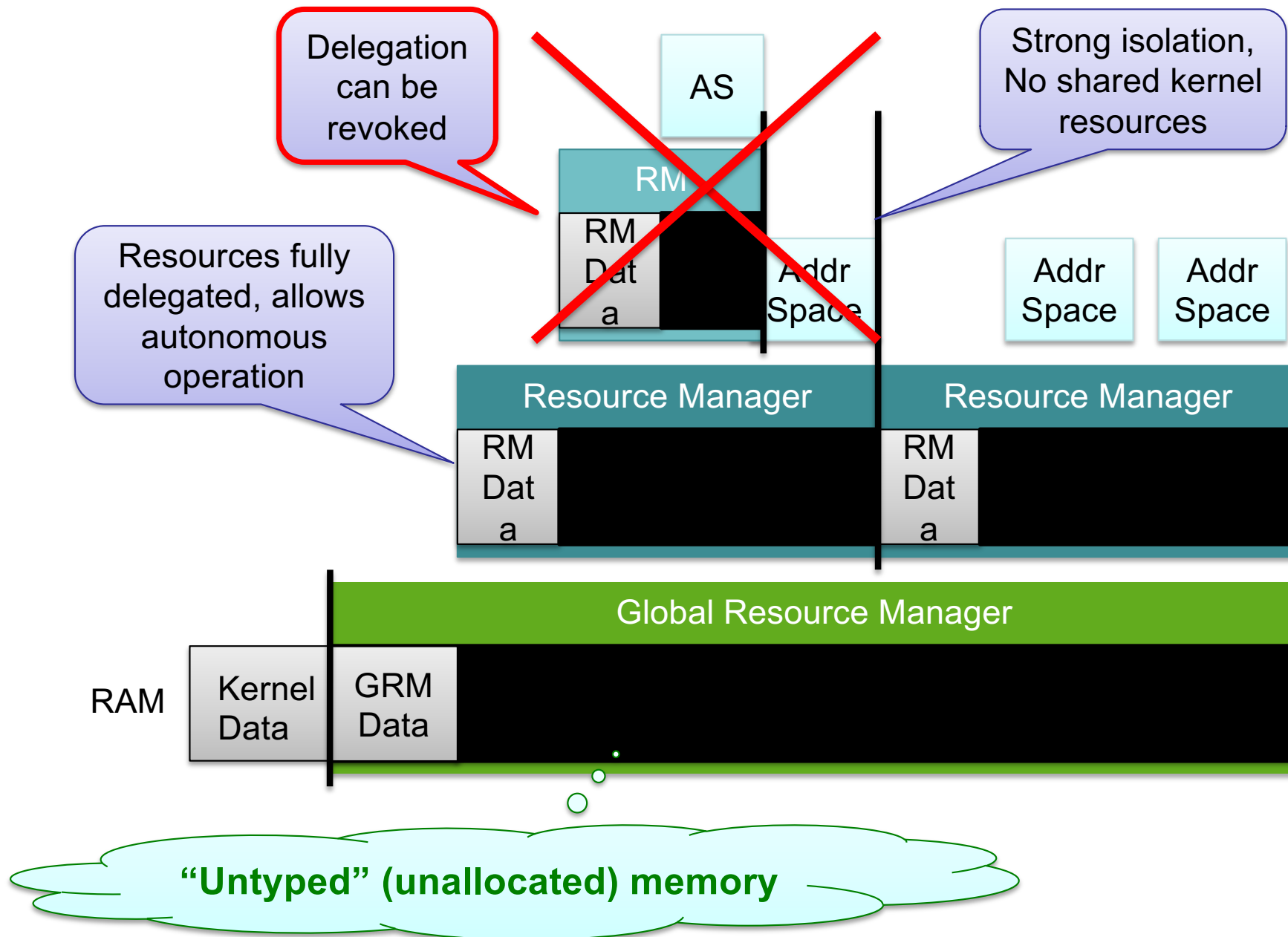
**Verification,
Performance**

What are Capabilities?

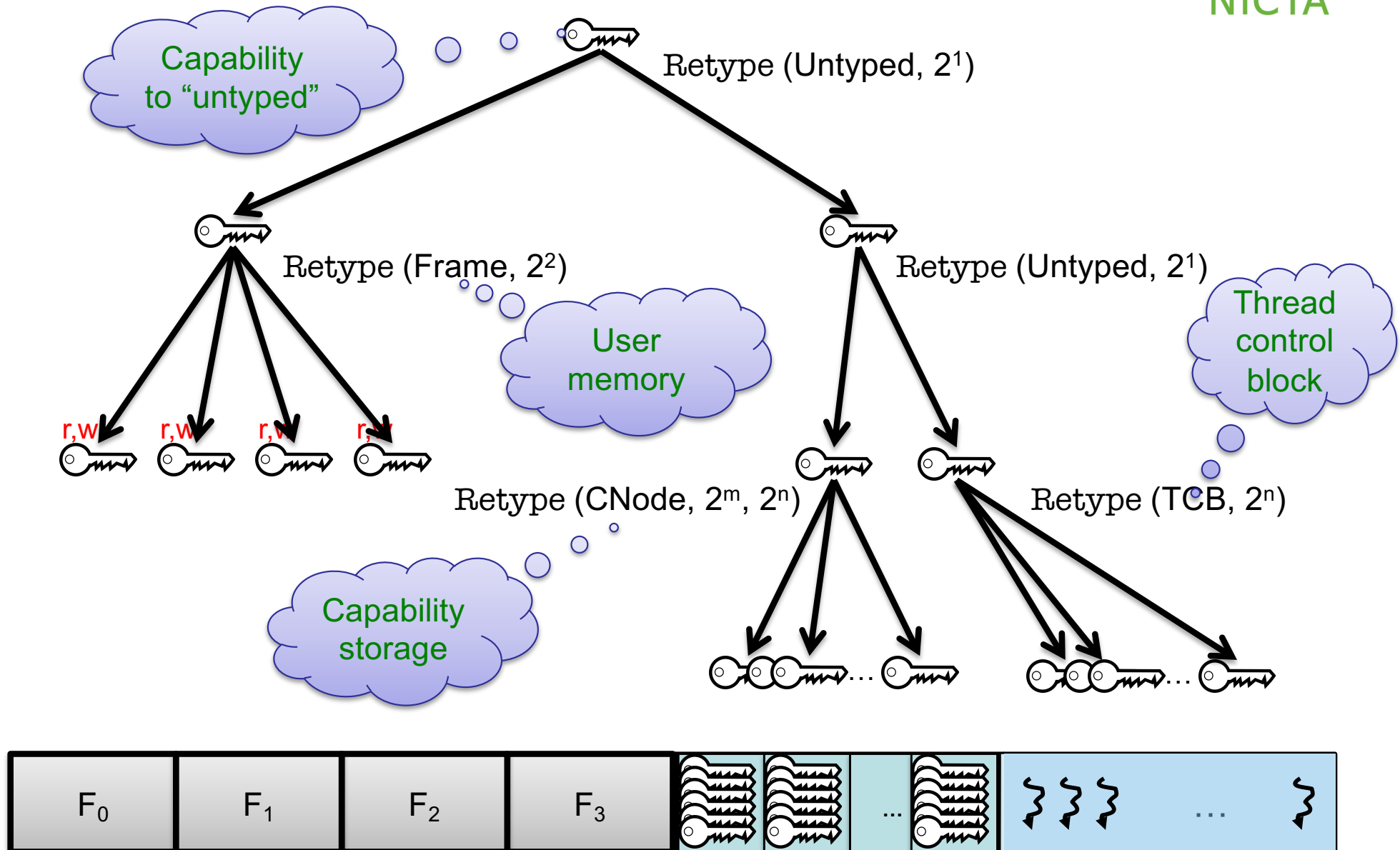
Cap = Access Token



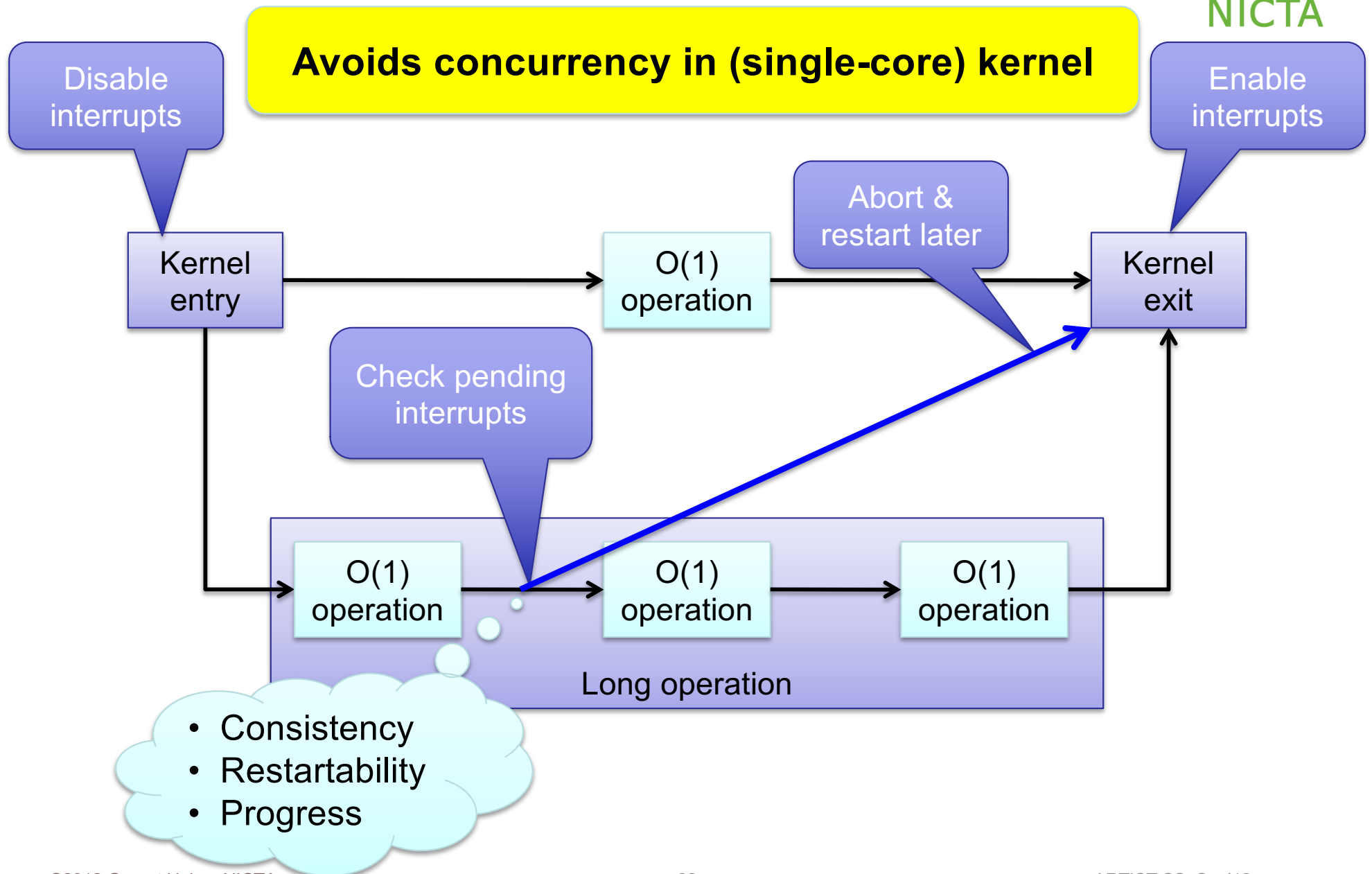
seL4 User-Level Memory Management



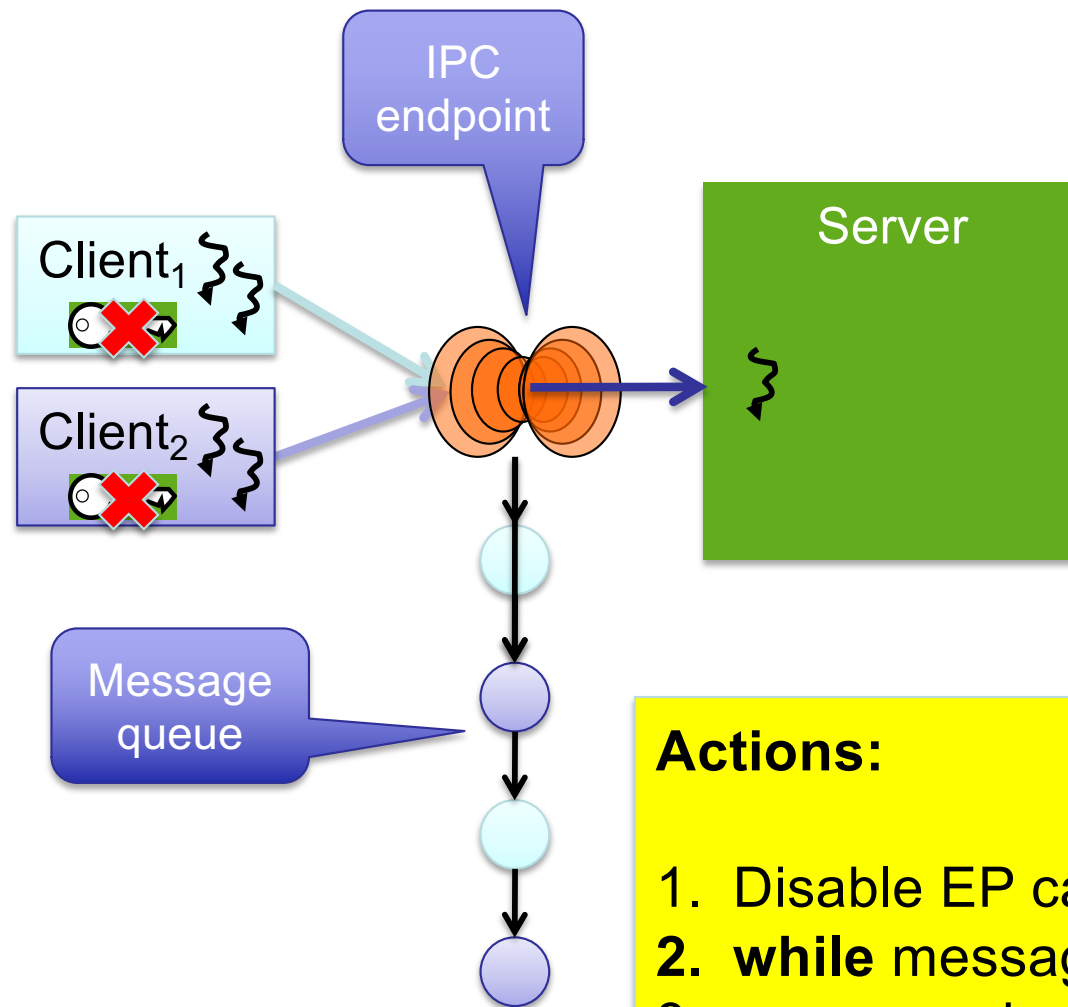
seL4 Memory Management Mechanics: Retype



Incremental Consistency



Example: Destroying IPC Endpoint

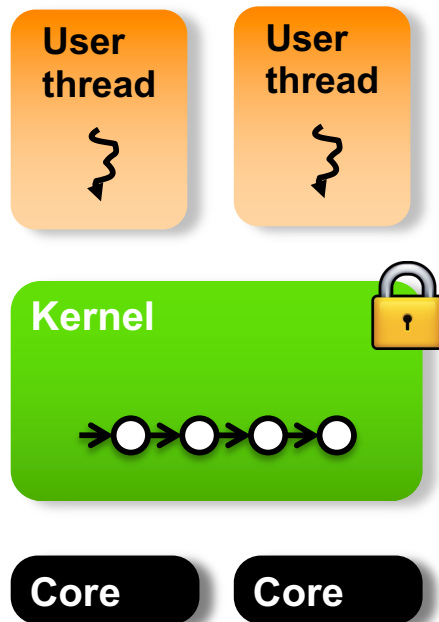


Actions:

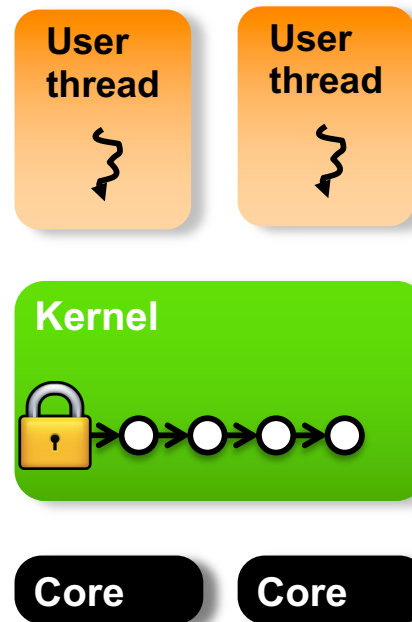
1. Disable EP cap (prevent new messages)
2. **while** message queue not empty **do**
3. remove head of queue (abort message)
4. check for pending interrupts
5. **done**

Approaches for Multicore Kernels

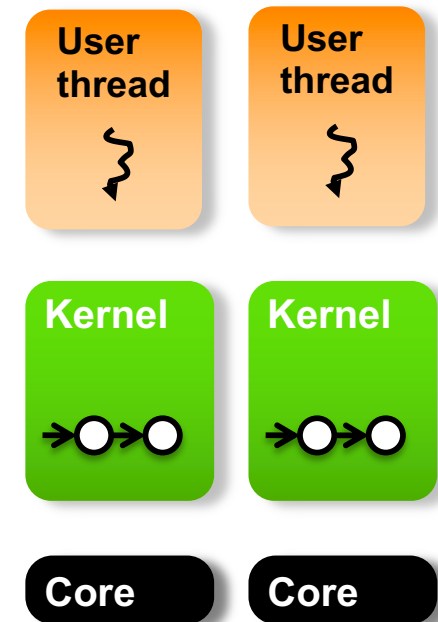
SMP big lock



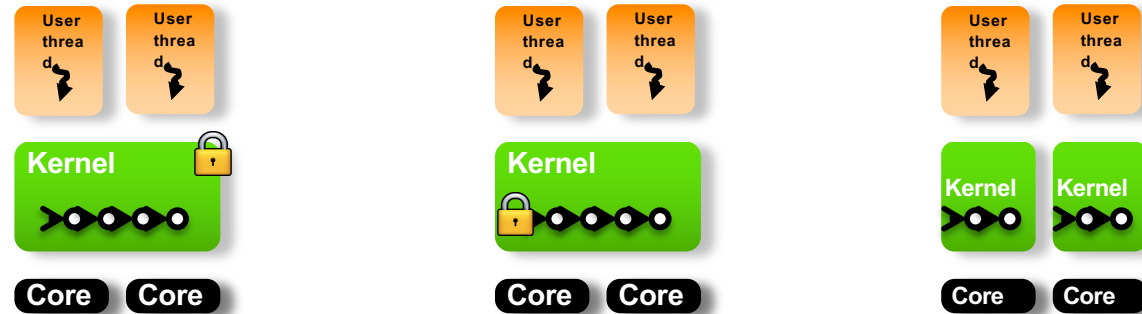
SMP fine-grained locks



Multikernel no locks



Multicore Kernel Trade-Offs

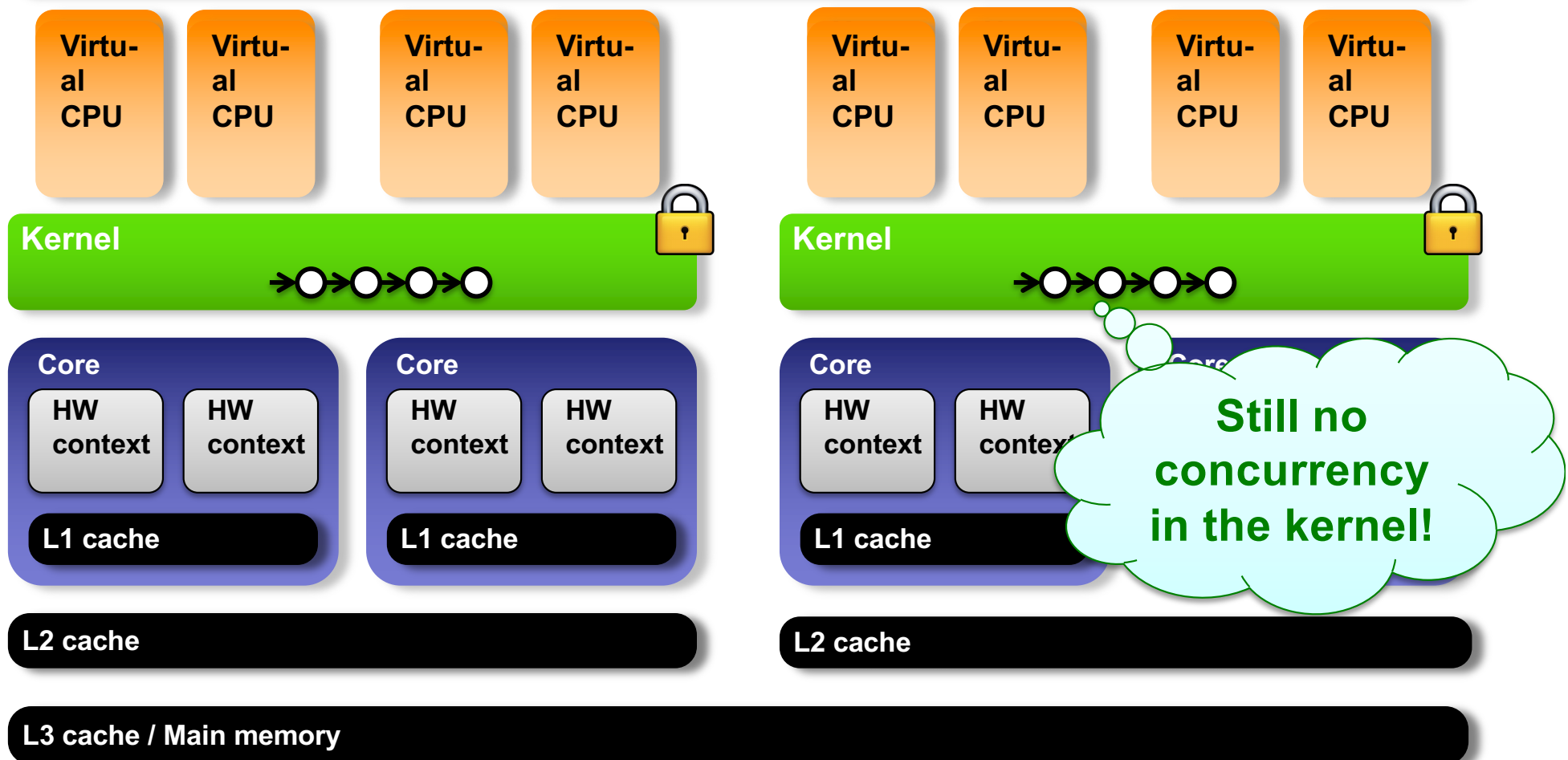


| Property | Big Lock | Fine-grained Locking | Multikernel |
|-----------------------|-------------|----------------------|-------------|
| Data structures | shared | shared | distributed |
| Scalability | poor | good | excellent |
| Concurrency in kernel | zero | high | zero |
| Kernel complexity | low | high | low |
| Resource management | centralised | centralised | distributed |

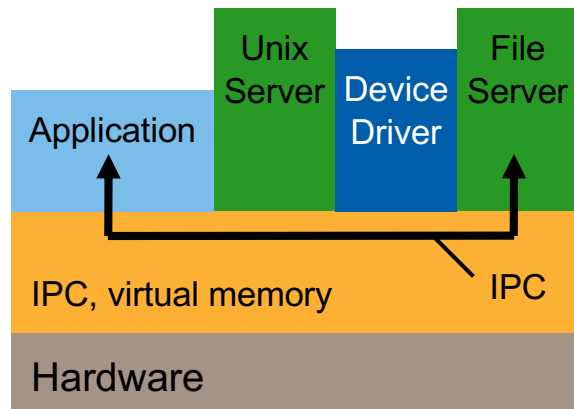
seL4 Multicore Design: Clustered Multikernel



SMP Linux



How About Performance?



Let's face it, seL4 is basically slow!

- C code (semi-blindly) translated from Haskell
- Many small functions, little regard for performance

IPC: one-way, zero-length

| | |
|------------------|-------------|
| Standard C code: | 1455 cycles |
| C fast path: | 185 cycles |

Fastest-ever
IPC on
ARM11!

But can speed up critical operations
by short-circuit “fast paths”

- ... without resorting to assembler!

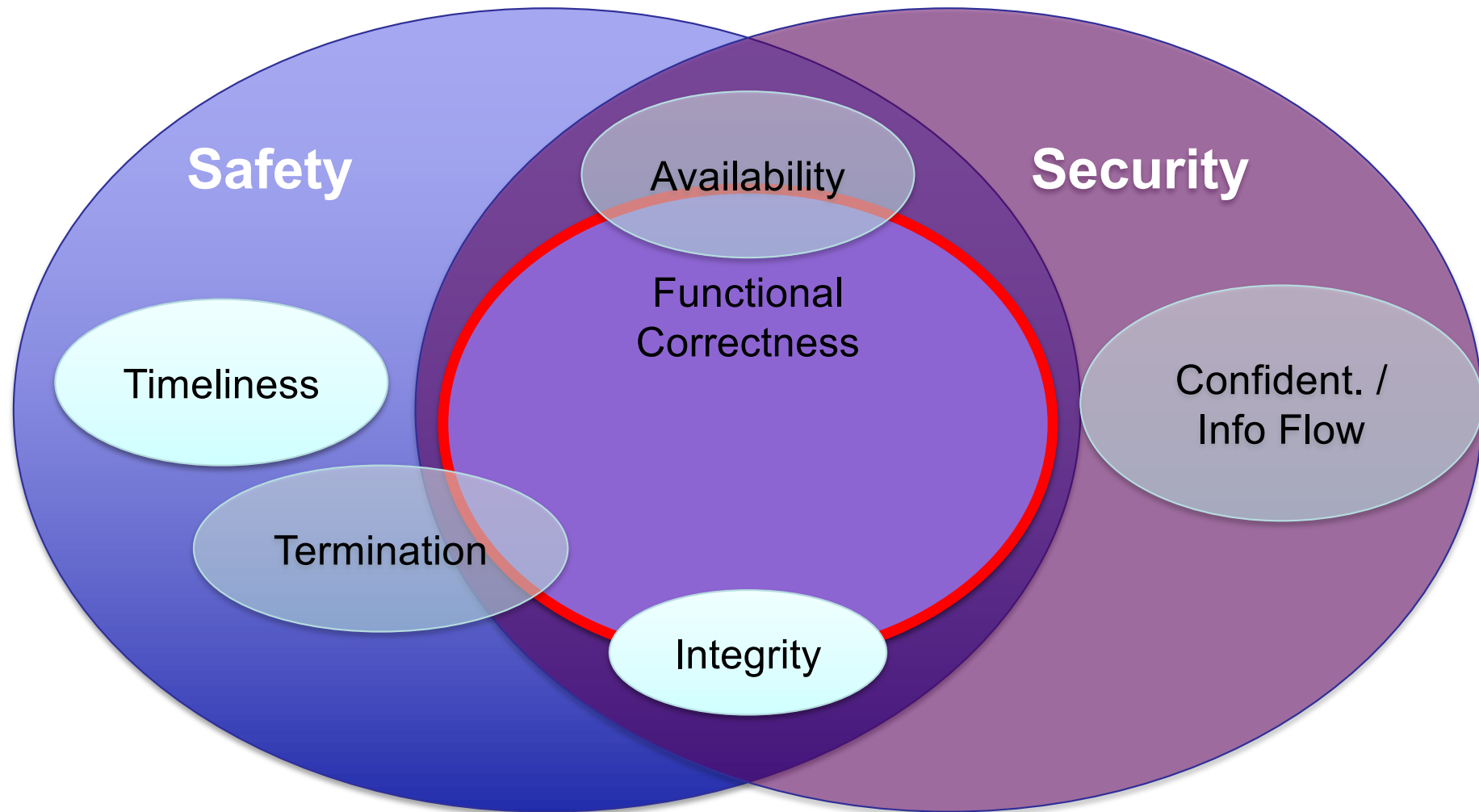
Bare “pass” in
Advanced Operating
Systems course!

Agenda



- Motivation
- Microkernels and seL4 design
- **Establishing trustworthiness**
- From kernel to system
- Sample system: Secure access controller

seL4 as Basis for Trustworthy Systems



Proving Functional Correctness



```
constdefs
  schedule :: "unit s_monad"
  "schedule = do
    threads ← allActiveTCBs;
    thread ← select threads;
    do_machine_op flushCaches OR return ();
    modify (λs. s | cur_thread := thread |)
  od"
```

```
schedule :: Kernel ()
schedule = do
  action <- getSchedulerAction
```

```
void
setPriority(tcb_t *tptr, prio_t prio) {
  prio_t oldprio;

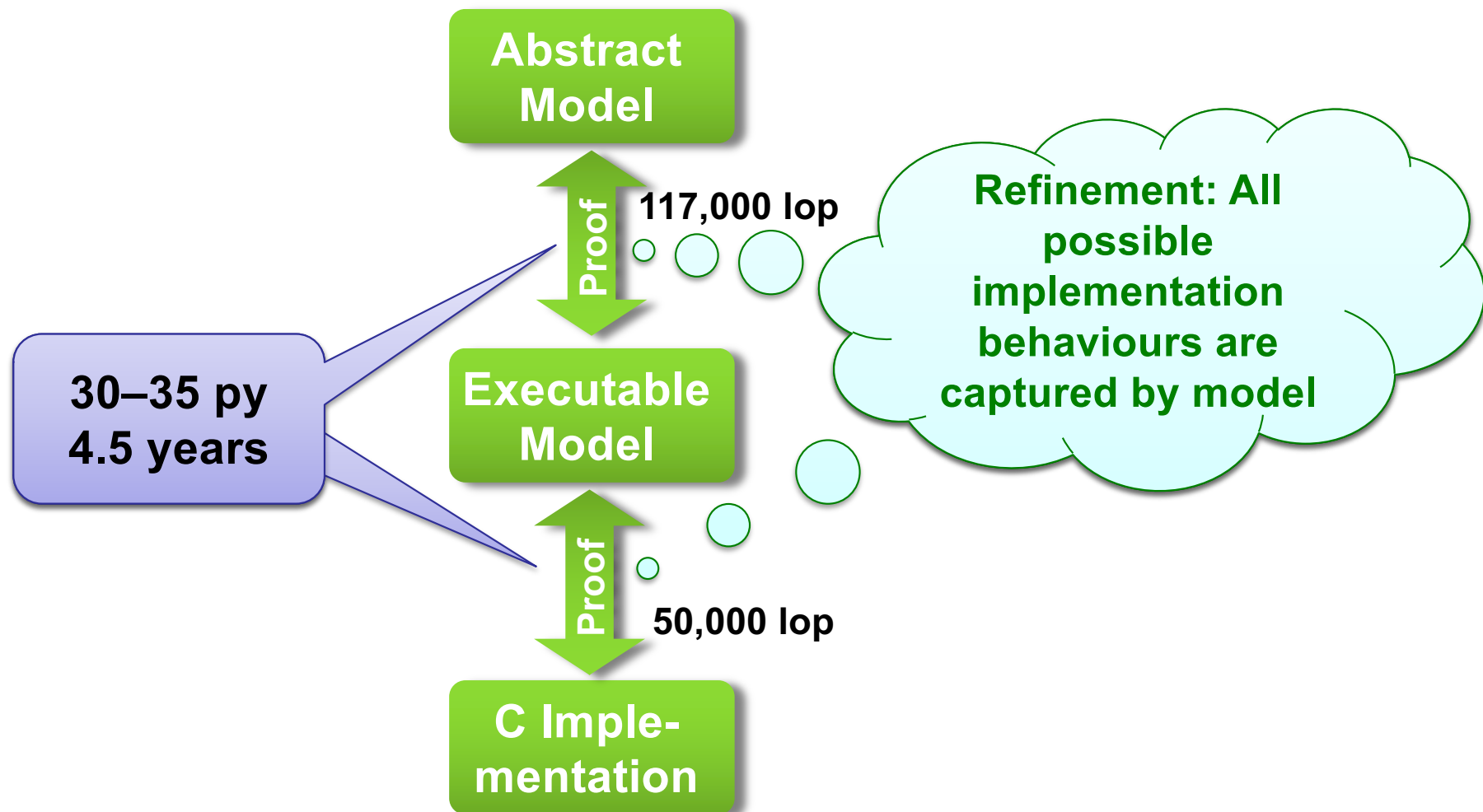
  if(thread_state_get_tcbQueued(tptr->tcbState)) {
    oldprio = tptr->tcbPriority;
    ksReadyQueues[oldprio] = tcbSchedDequeue(tptr, ksReadyQueues[oldprio]);
    if(isRunnable(tptr)) {
      ksReadyQueues[prio] = tcbSchedEnqueue(tptr, ksReadyQueues[prio]);
    }
    else {
      thread_state_ptr_set_tcbQueued(&tptr->tcbState, false);
    }
  }

  tptr->tcbPriority = prio;
}

void
yieldTo(tcb_t *target) {
  target->tcbTimeSlice += ksCurThread->tcbTimeSlice;
}
```

```
ad
curThread
meSlice curThread
ime == 0) chooseThread
```

Proving Functional Correctness



Why So Long for 9,000 LOC?

seL4 call
graph



Costs Breakdown



| | |
|-------------------------|--------------|
| Haskell design | 2 py |
| C implementation | 2 weeks |
| Debugging/Testing | 2 months |
| Kernel verification | 12 py |
| Formal frameworks | 10 py |
| Total | 25 py |
| | |
| Repeat (estimated) | 6 py |
| Traditional engineering | 4–6 py |

Did you find bugs???

- During (very shallow) testing: 16
- During verification: 460
 - 160 in C, ~150 in design, ~150 in spec

Does not include
subsequent fastpath
verification

seL4 Formal Verification Summary

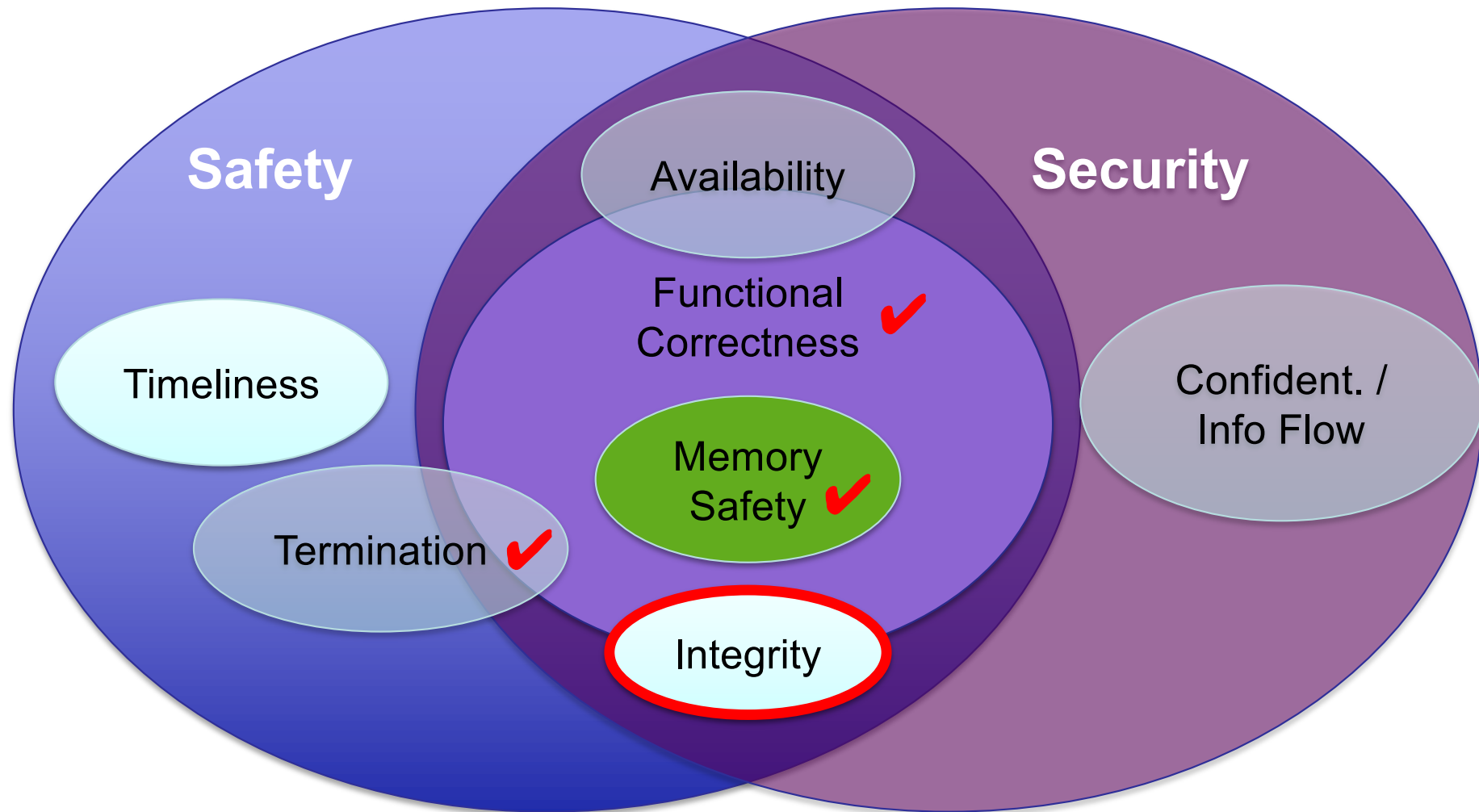


Kinds of properties proved

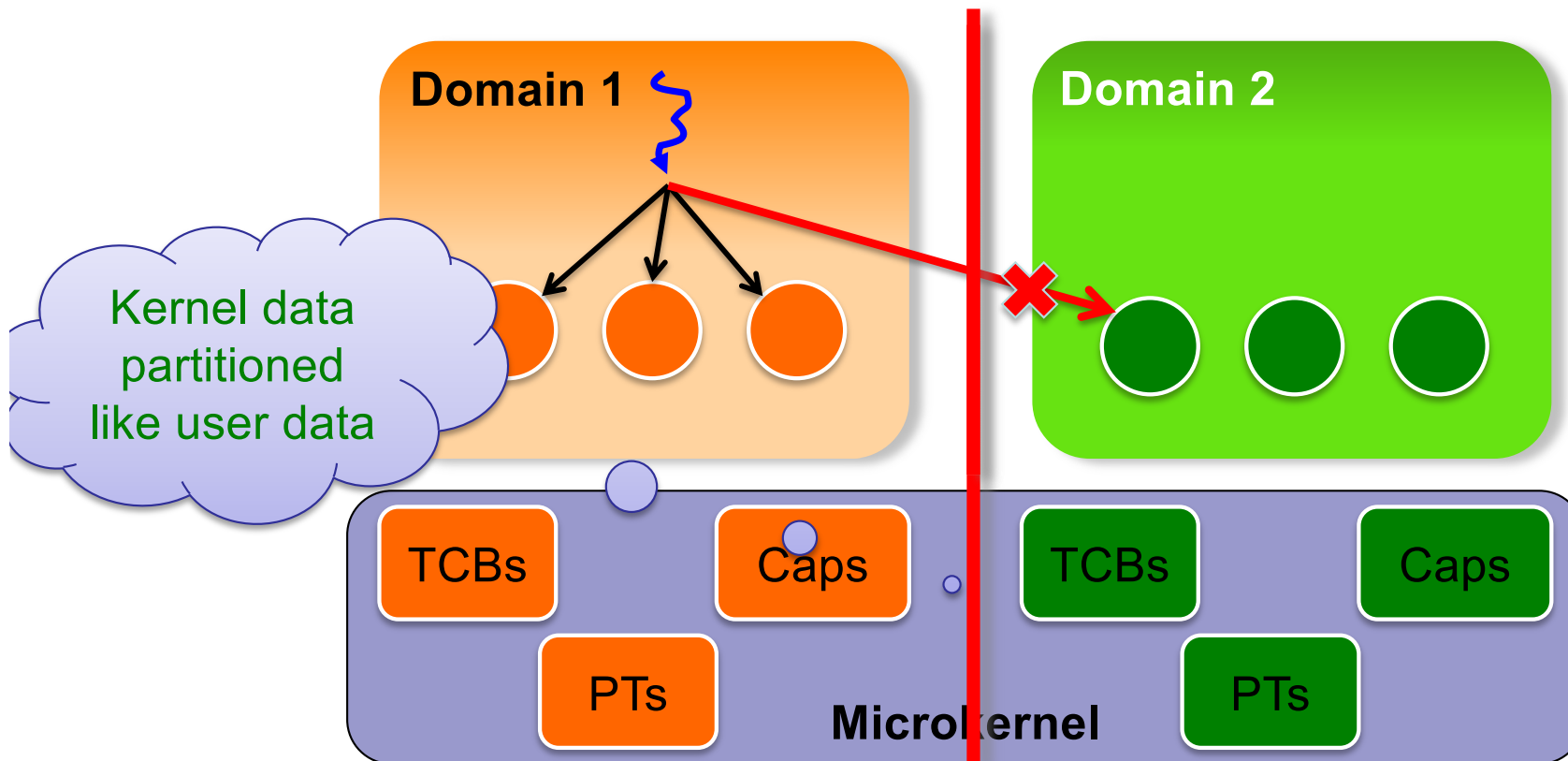
- Behaviour of C code is fully captured by abstract model
- Behaviour of C code is fully captured by executable model
- Kernel never fails, behaviour is always well-defined
 - assertions never fail
 - will never de-reference null pointer
 - cannot be subverted by malformed input
- All syscalls terminate, reclaiming memory is safe, ...
- Well typed references, aligned objects, kernel always mapped...
- Access control is decidable

Can prove further
properties on
abstract level!

seL4 as Basis for Trustworthy Systems



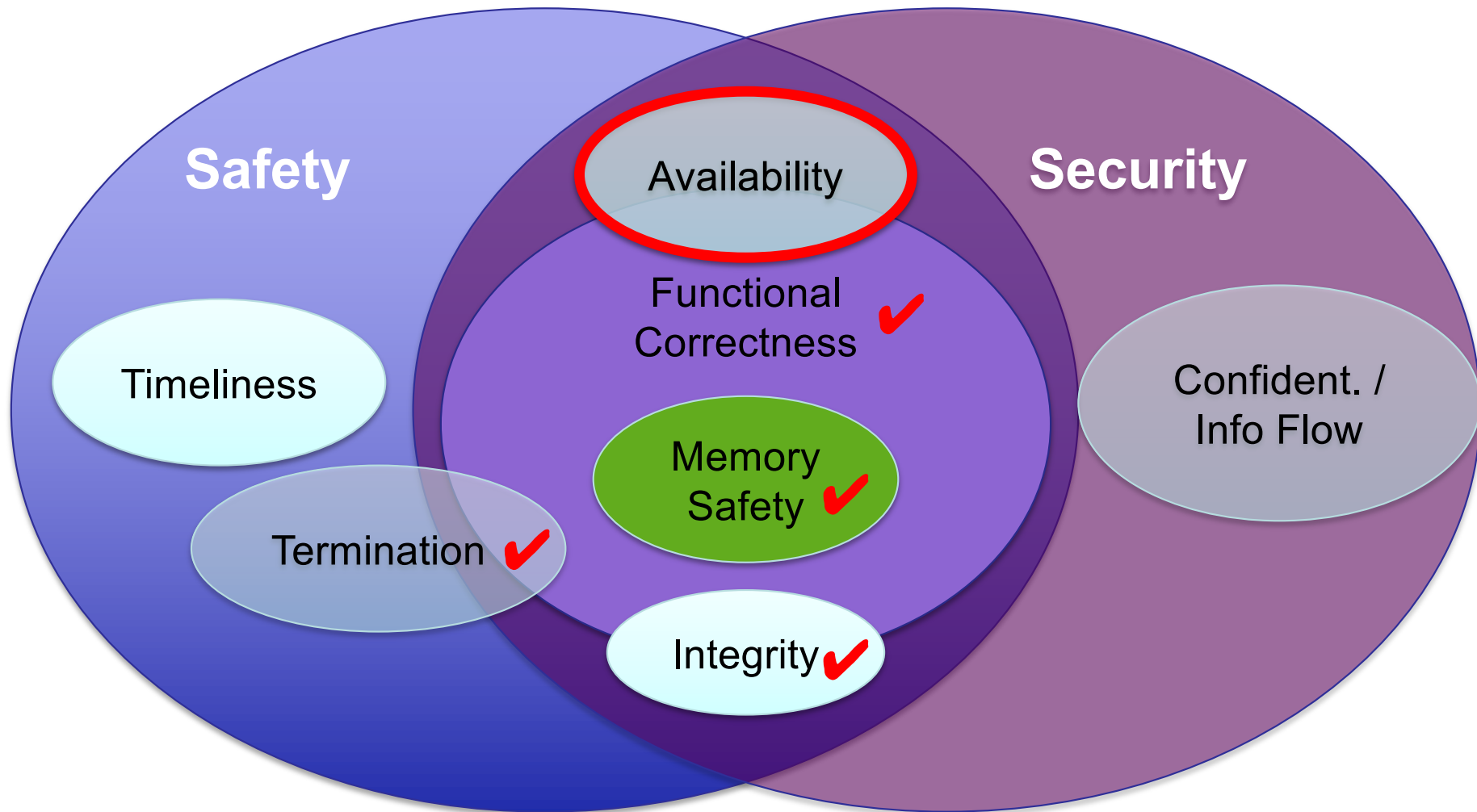
Integrity: Limiting Write Access



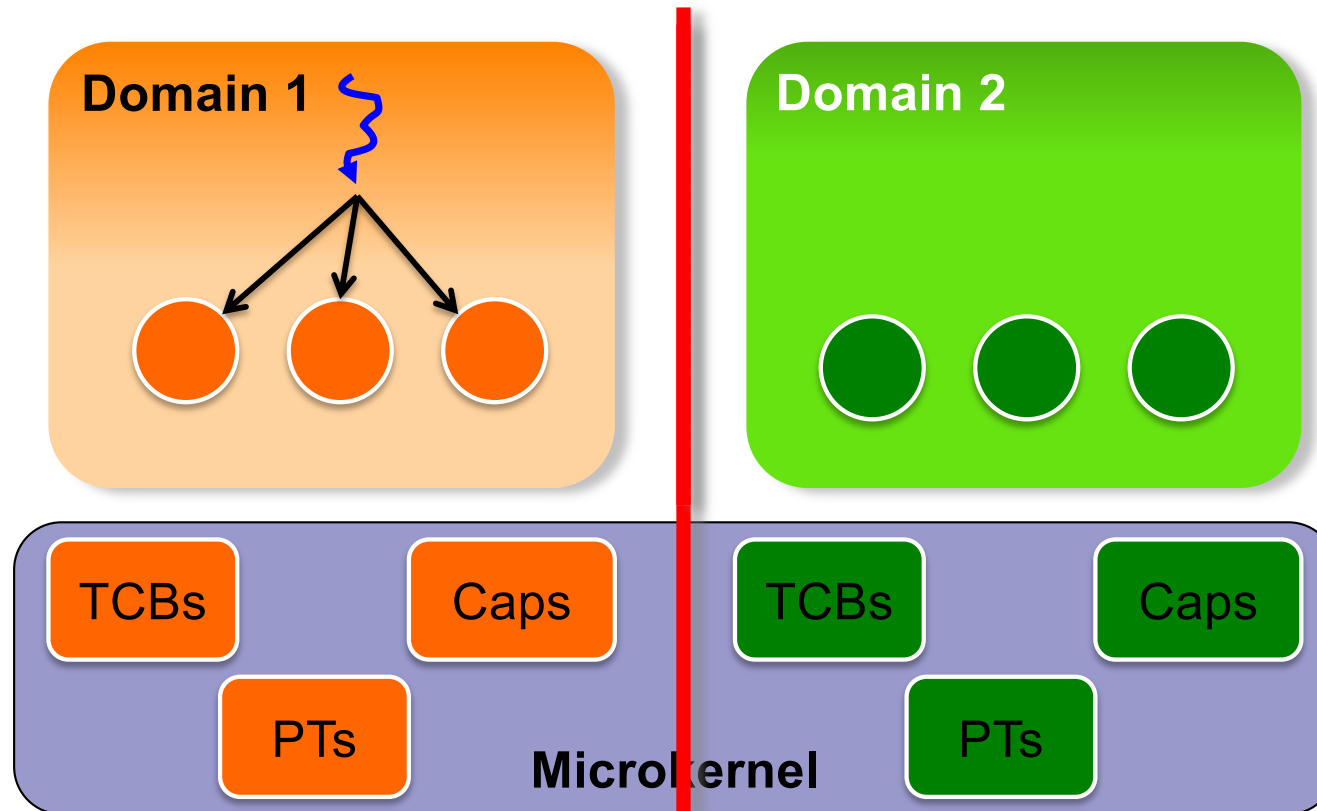
To prove:

- Domain-1 doesn't have write *capabilities* to Domain-2 objects
⇒ no action of Domain-1 agents will modify Domain-2 state
- Specifically, *kernel does not modify on Domain-1's behalf!*
 - Event-based kernel operates on behalf of well-defined user thread
 - Prove kernel only allows write upon capability presentation

seL4 as Basis for Trustworthy Systems

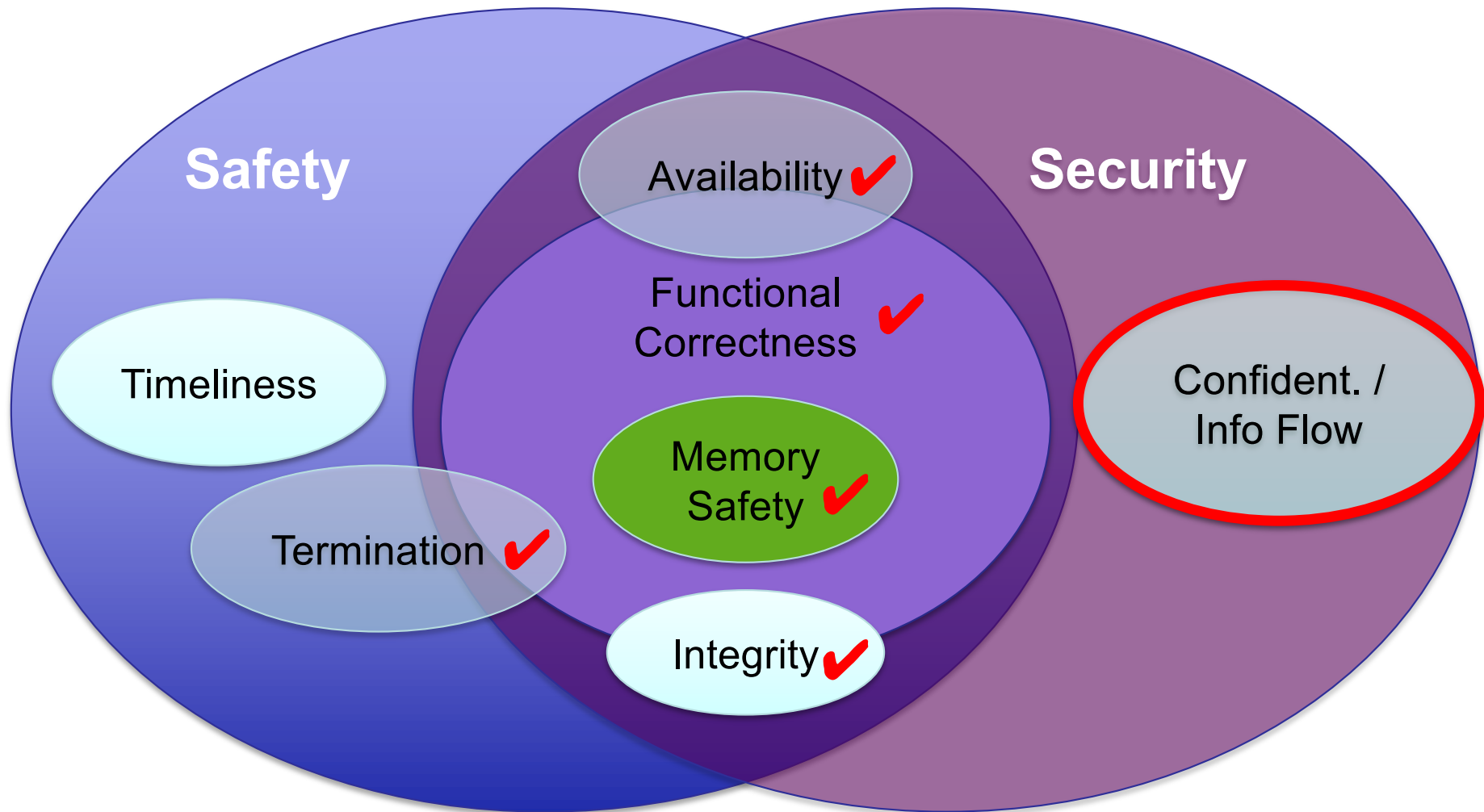


Availability: Ensuring Resource Access

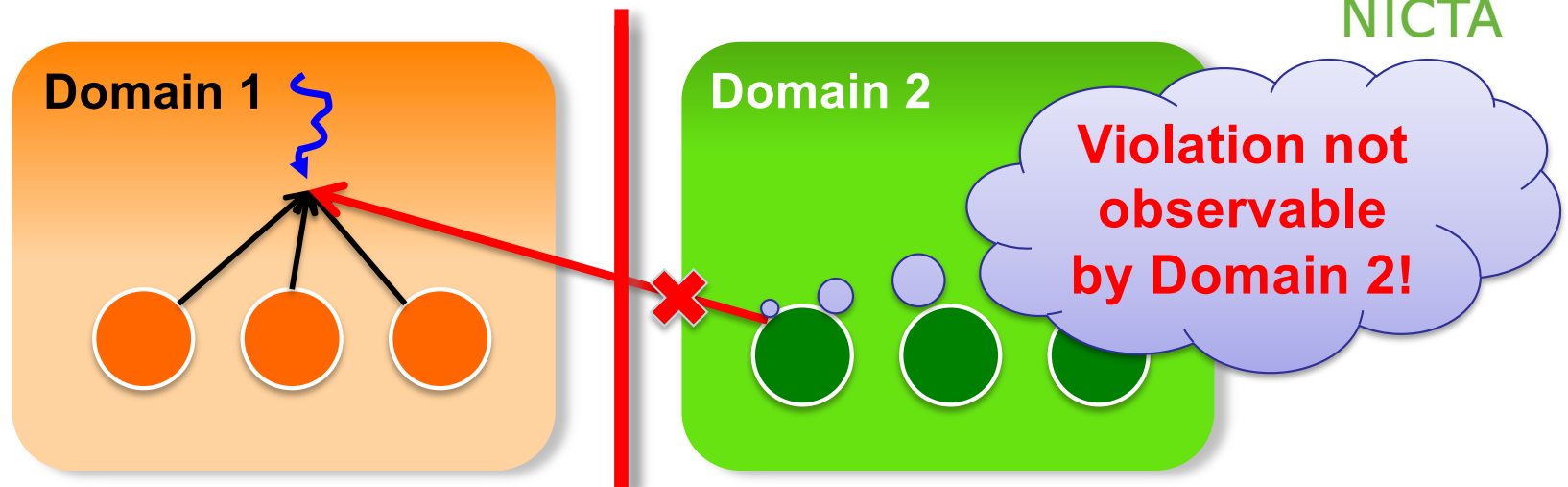


- Strict separation of kernel resources
⇒ agent cannot deny access to another domain's resources

seL4 as Basis for Trustworthy Systems



Confidentiality: Limiting Read Accesses



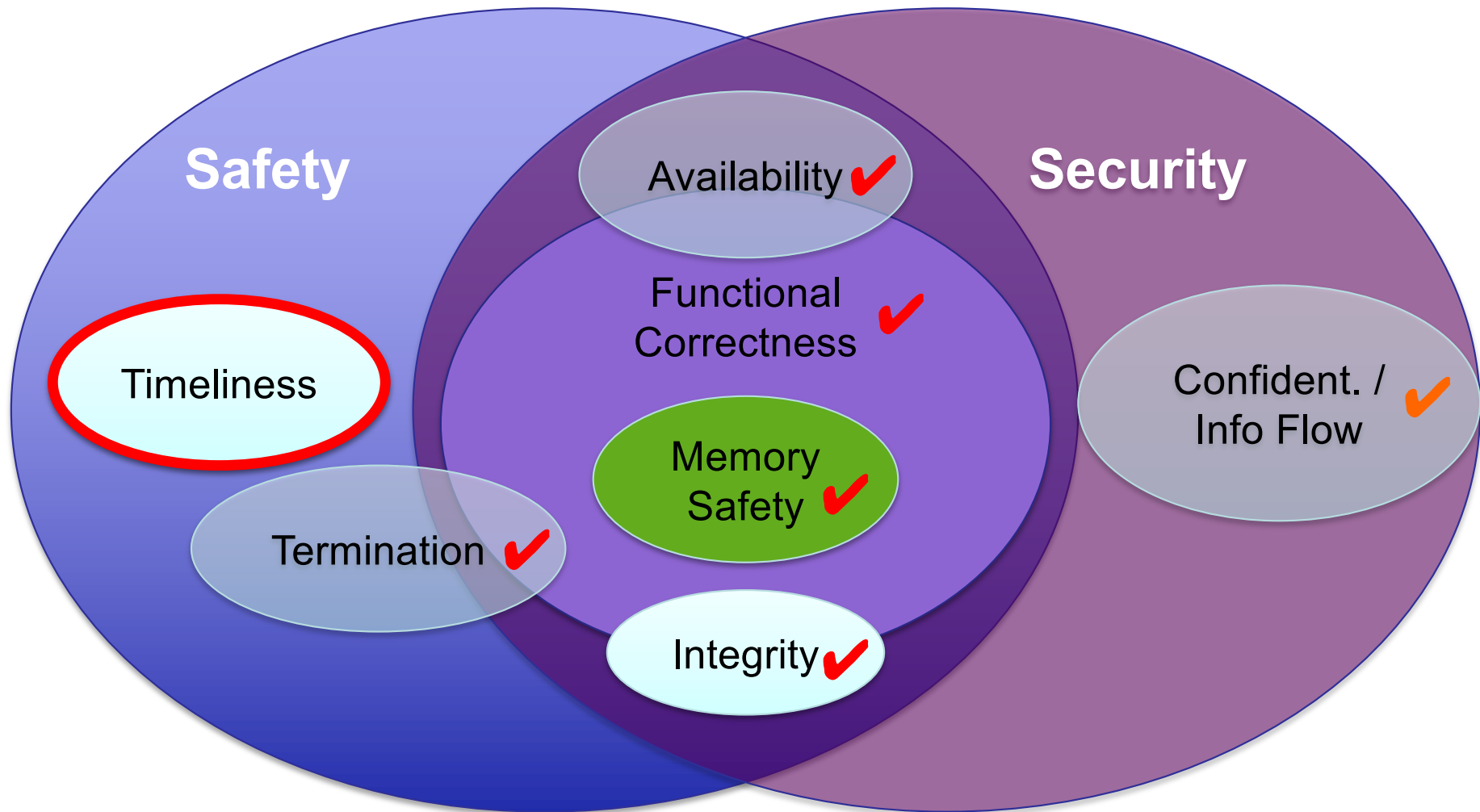
To prove:

- Domain-1 doesn't have read capabilities to Domain-2 objects
⇒ no action of any agents will reveal Domain-2 state to Domain-1

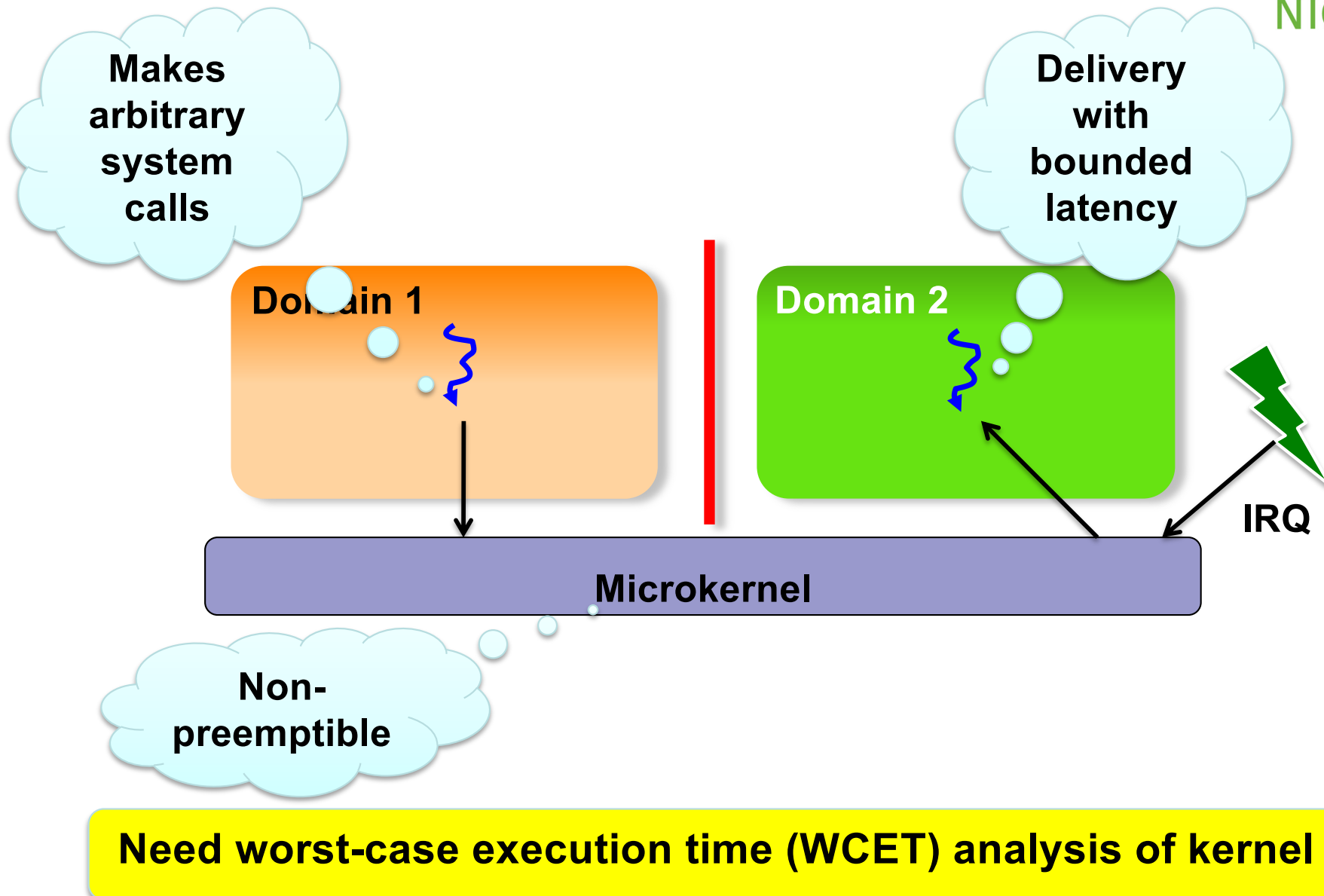
Non-interference proof in progress:

- Evolution of Domain 1 does not depend on Domain-2 state
- Presently cover only overt information flow

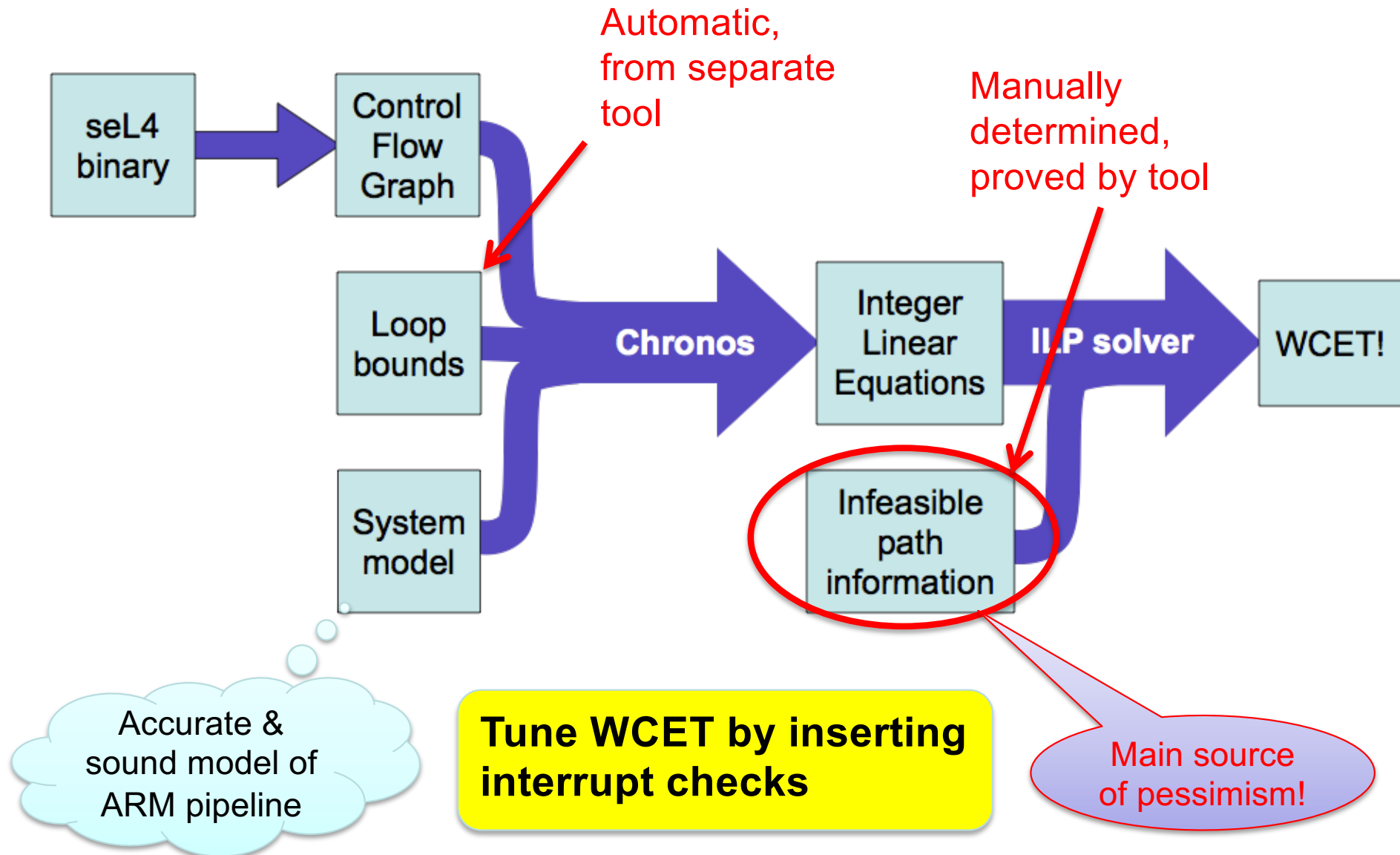
seL4 as Basis for Trustworthy Systems



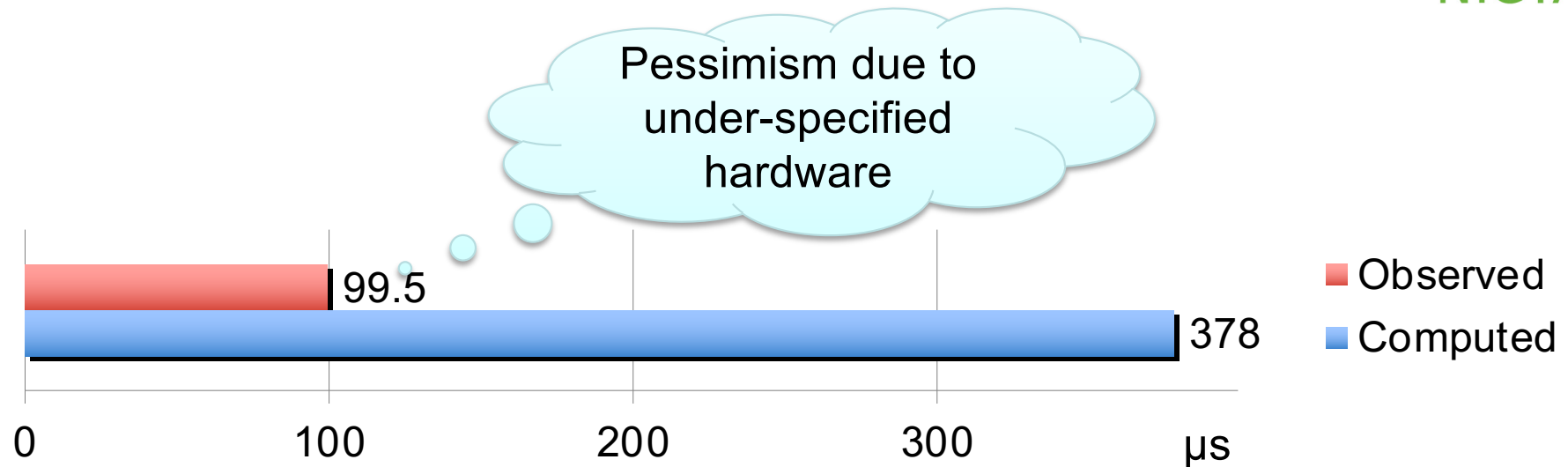
Timeliness



WCET Analysis Approach



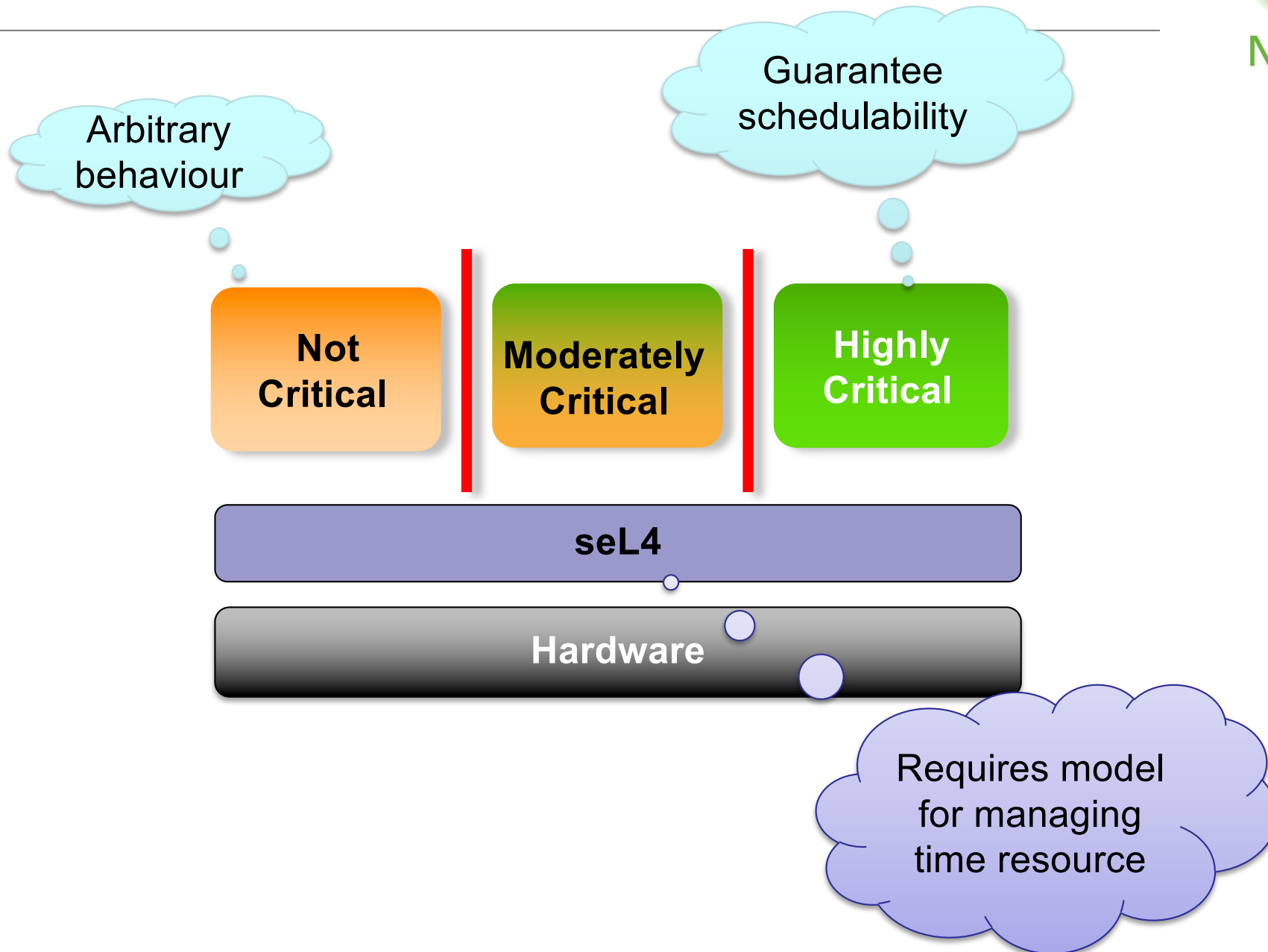
Result



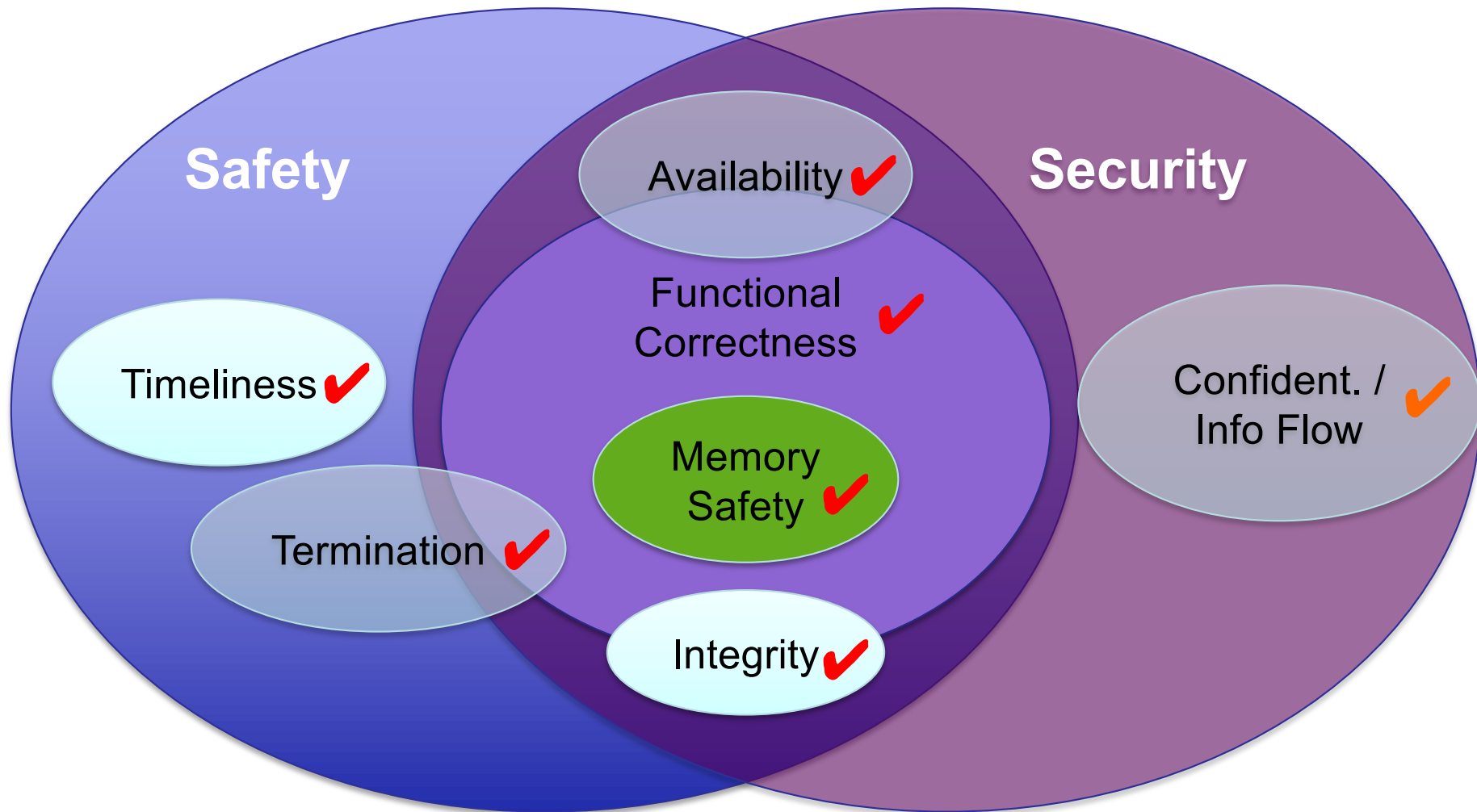
WCET presently limited by verification practicalities

- 10 μs seem achievable

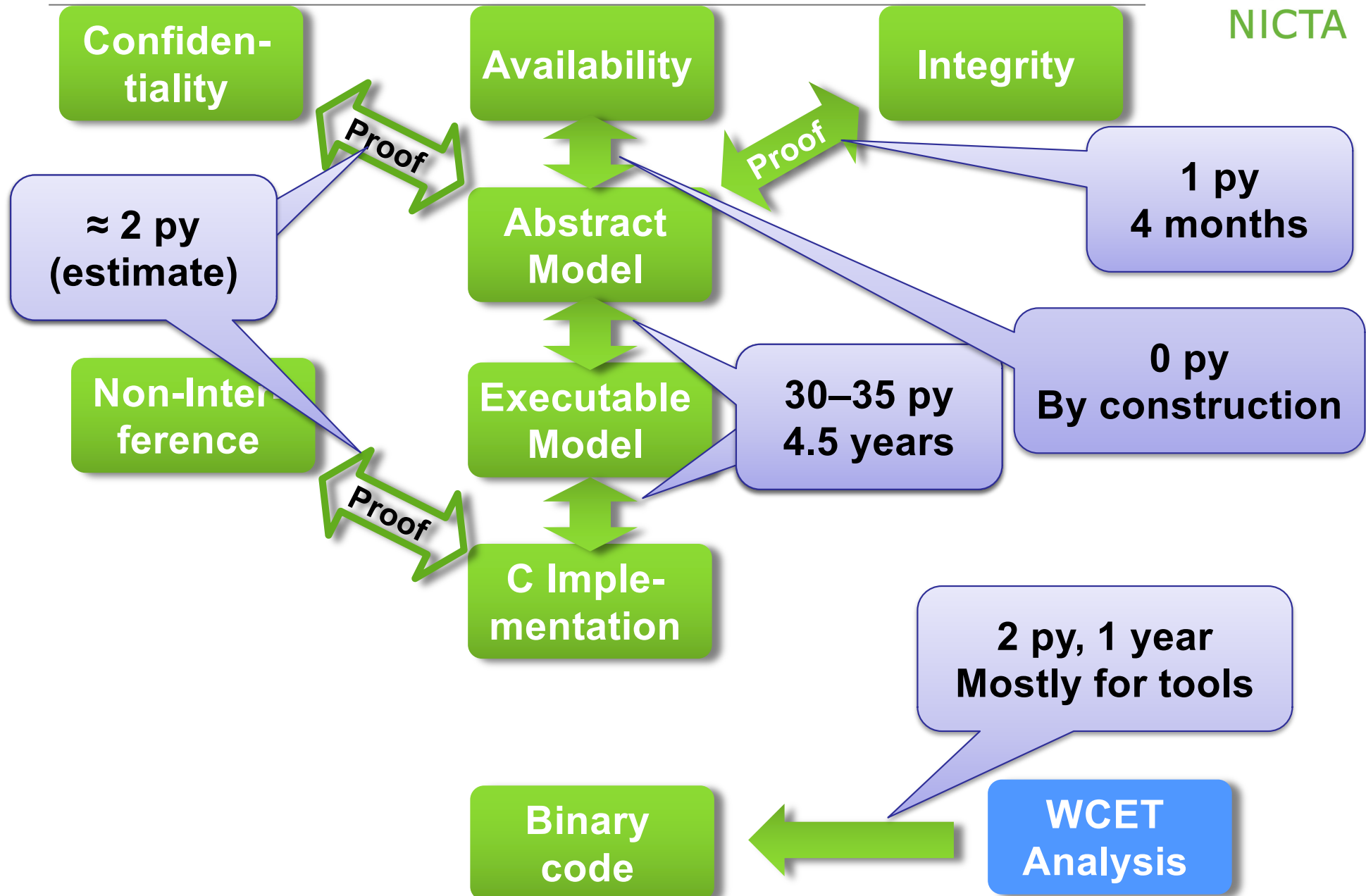
Future: Whole-System Schedulability



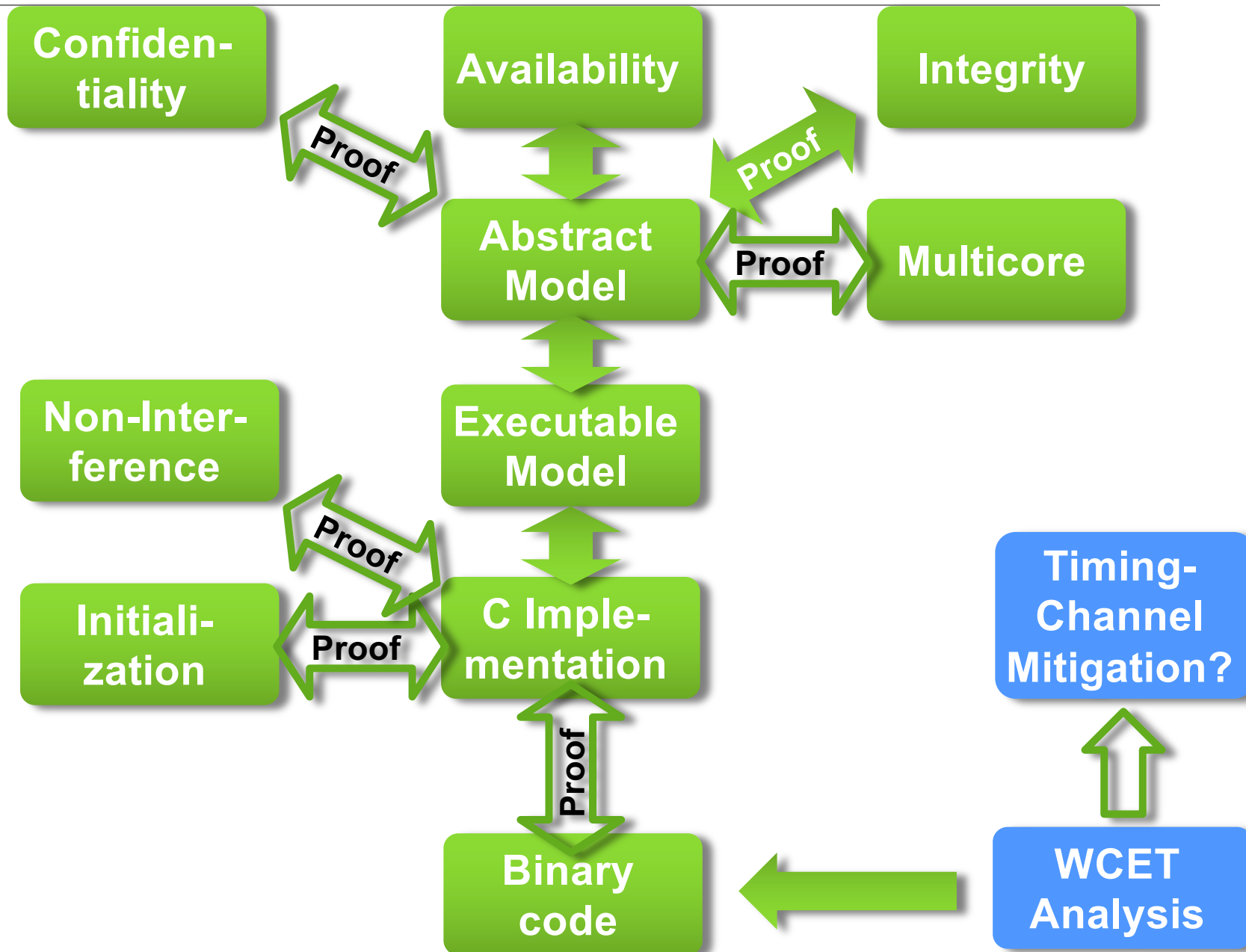
seL4 as Basis for Trustworthy Systems



Proving seL4 Trustworthiness



seL4 – the Next 24 Months



Binary Verification

IPC: one-way, zero-length

| Compiler | gcc | Compcert |
|------------------|-------------|-------------|
| Standard C code: | 1455 cycles | 3749 cycles |
| C fast path: | 185 cycles | 730 cycles |

Uncompetitive performance!

Use verified compiler (Compcert)?

C Implementation

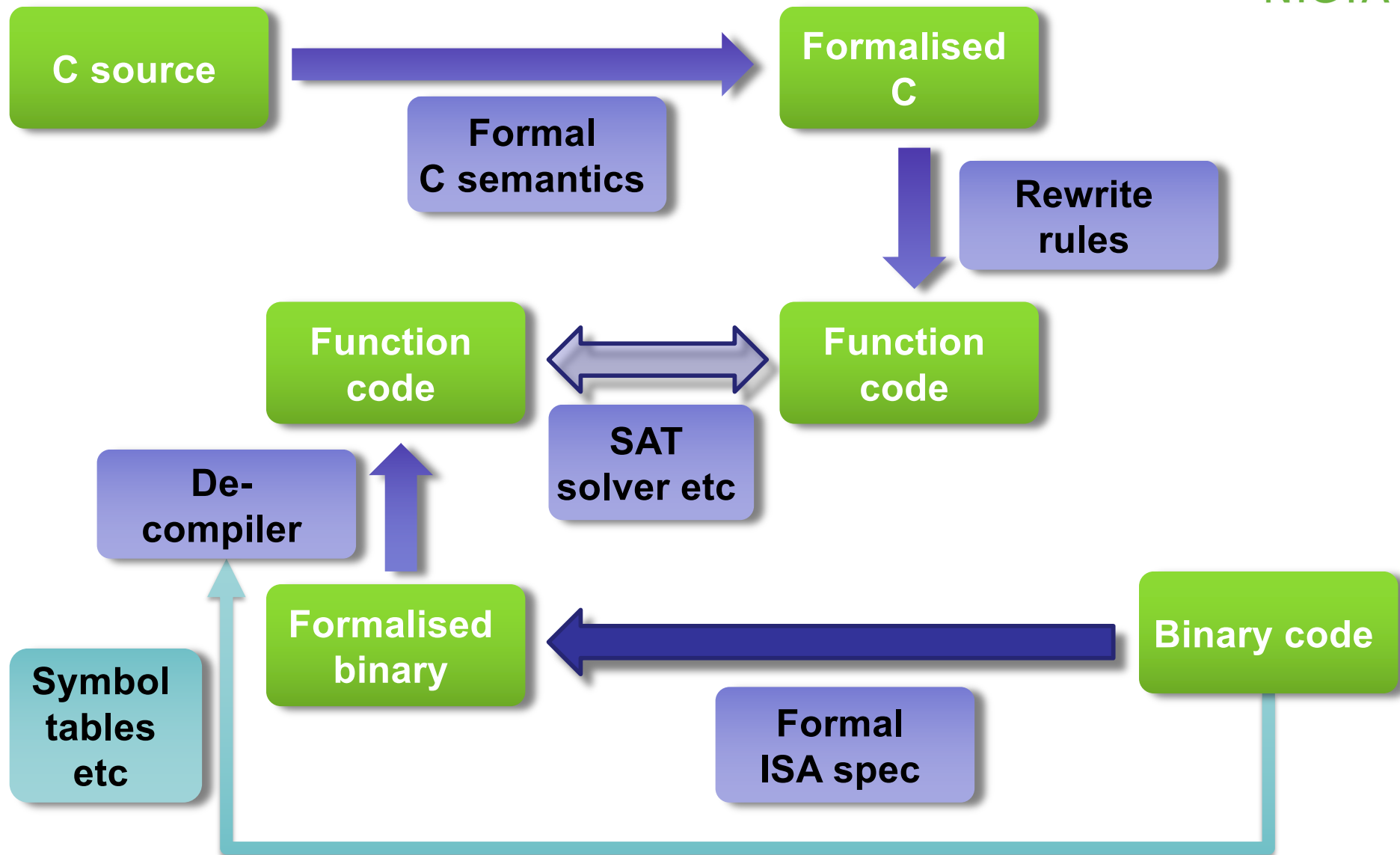
Proof

Binary code

Bigger problem:

- Our proofs are in Isabel/HOL, Compcert uses Coq
- We cannot prove that they use the same C semantics!

Binary Code Verification (In Progress)



Multikernel Verification

- By definition, multikernel images execute independently
 - except for explicit messaging



- To prove:
 - isolated images are initialised correctly
 - images maintain isolation at run time

Essentially non-interference

Agenda



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- Microkernels and seL4 design
- Establishing trustworthiness
- **From kernel to system**
- Sample system: Secure access controller

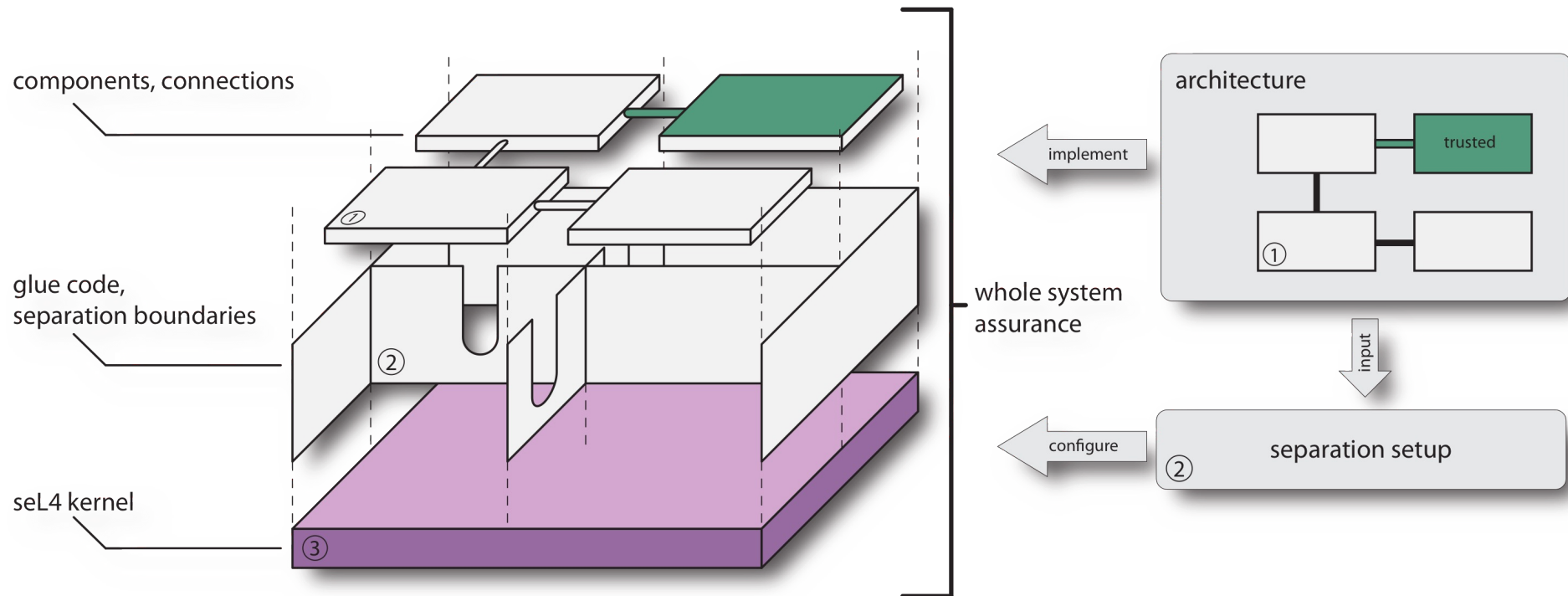
Phase Two: Full-System Guarantees



- Achieved: Verification of microkernel (8,700 LOC)
- Next step: Guarantees for real-world systems (1,000,000 LOC)

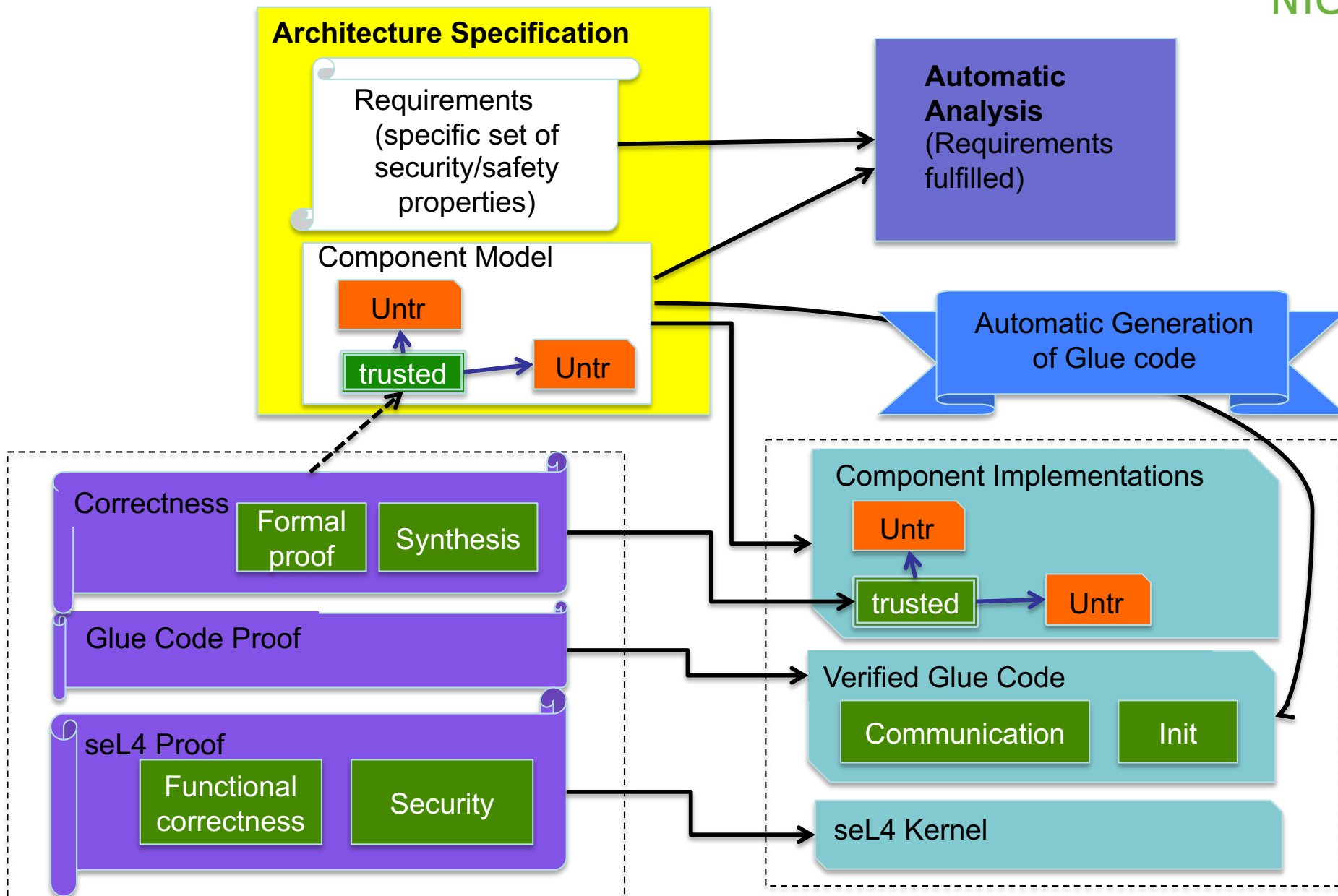


Overview of Approach

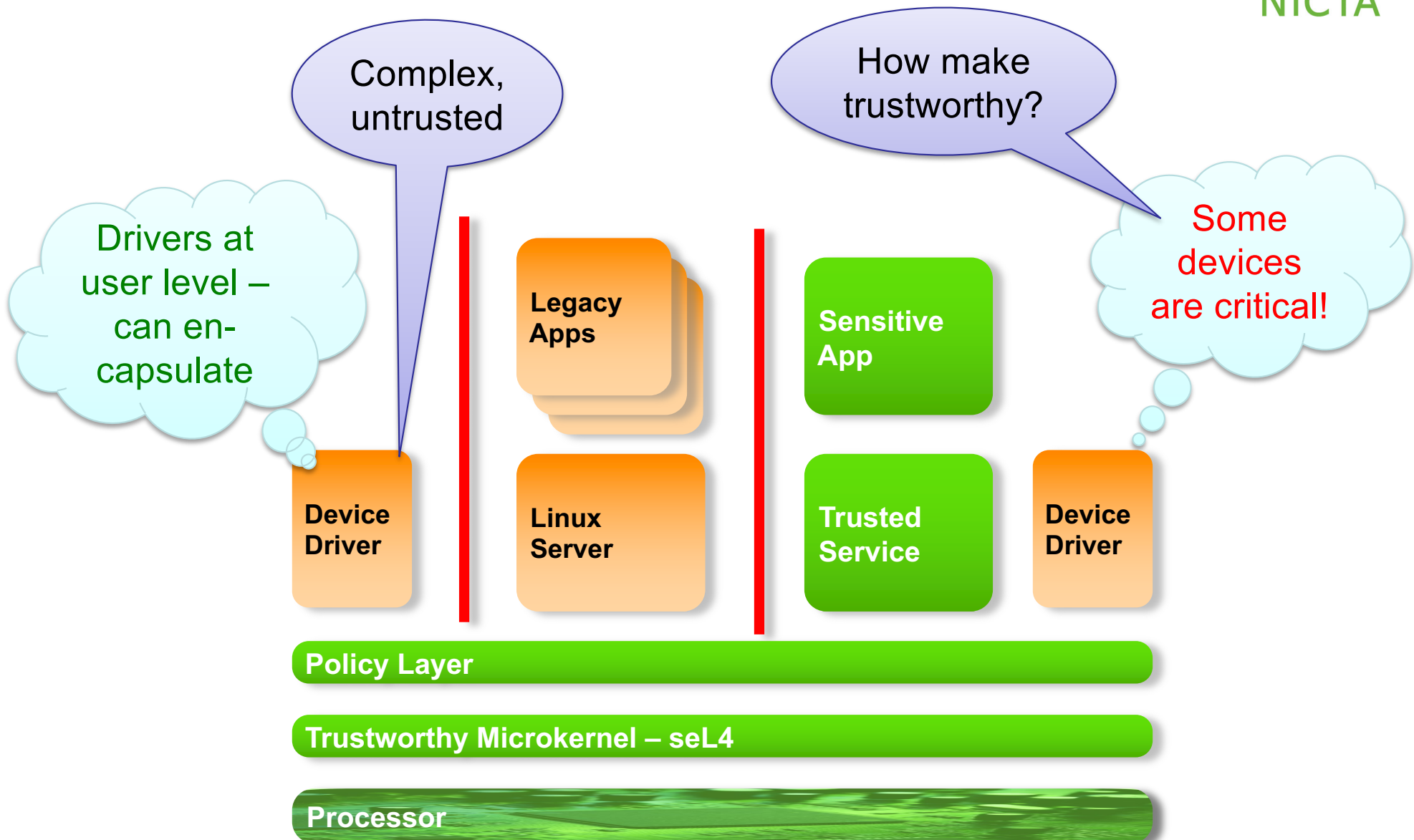


- Build system with minimal TCB
- Formalize and prove security properties about architecture
- Prove correctness of trusted components
- Prove correctness of setup
- Prove temporal properties (isolation, WCET, ...)
- Maintain performance

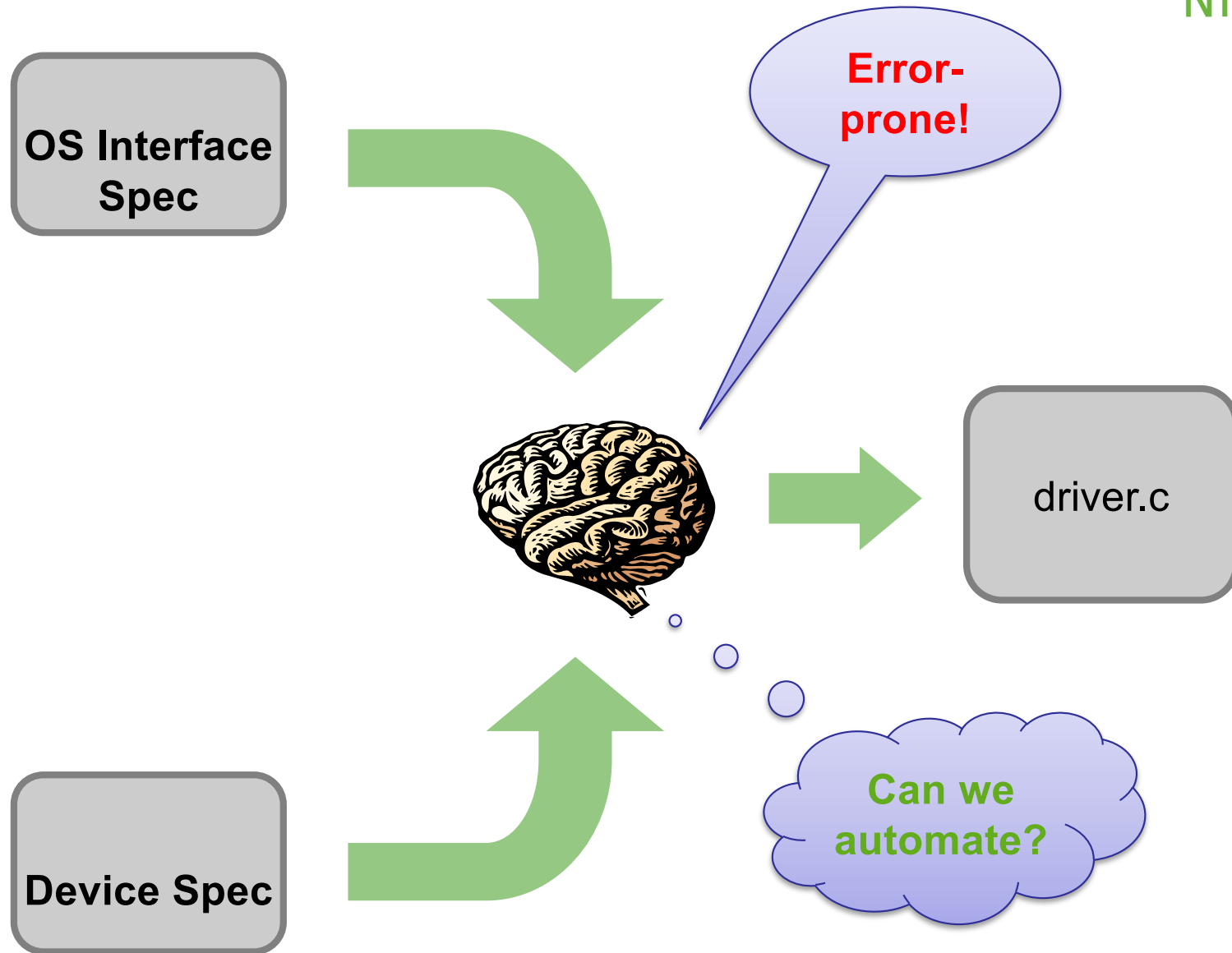
Architecting Security/Safety



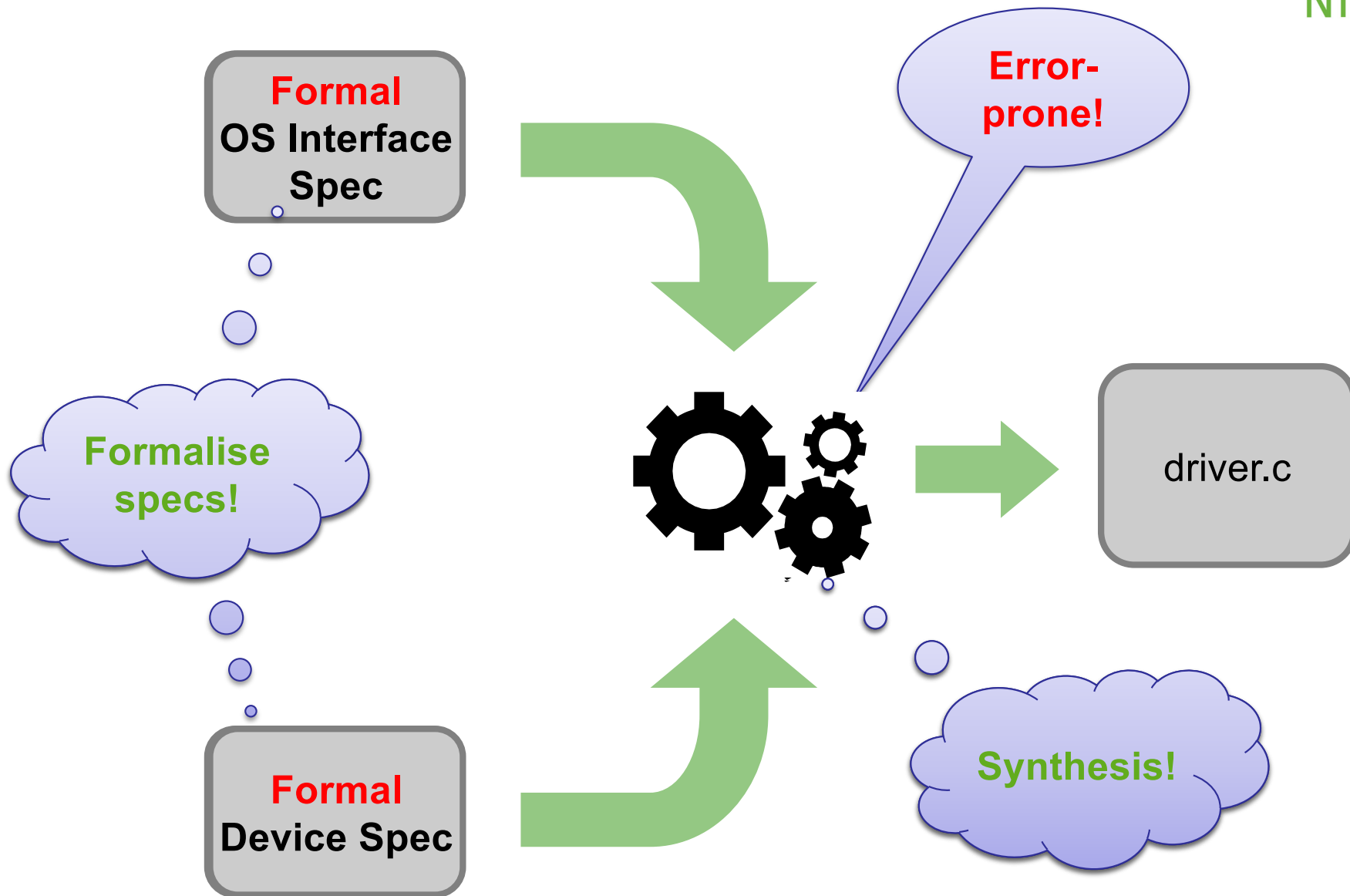
Device Drivers



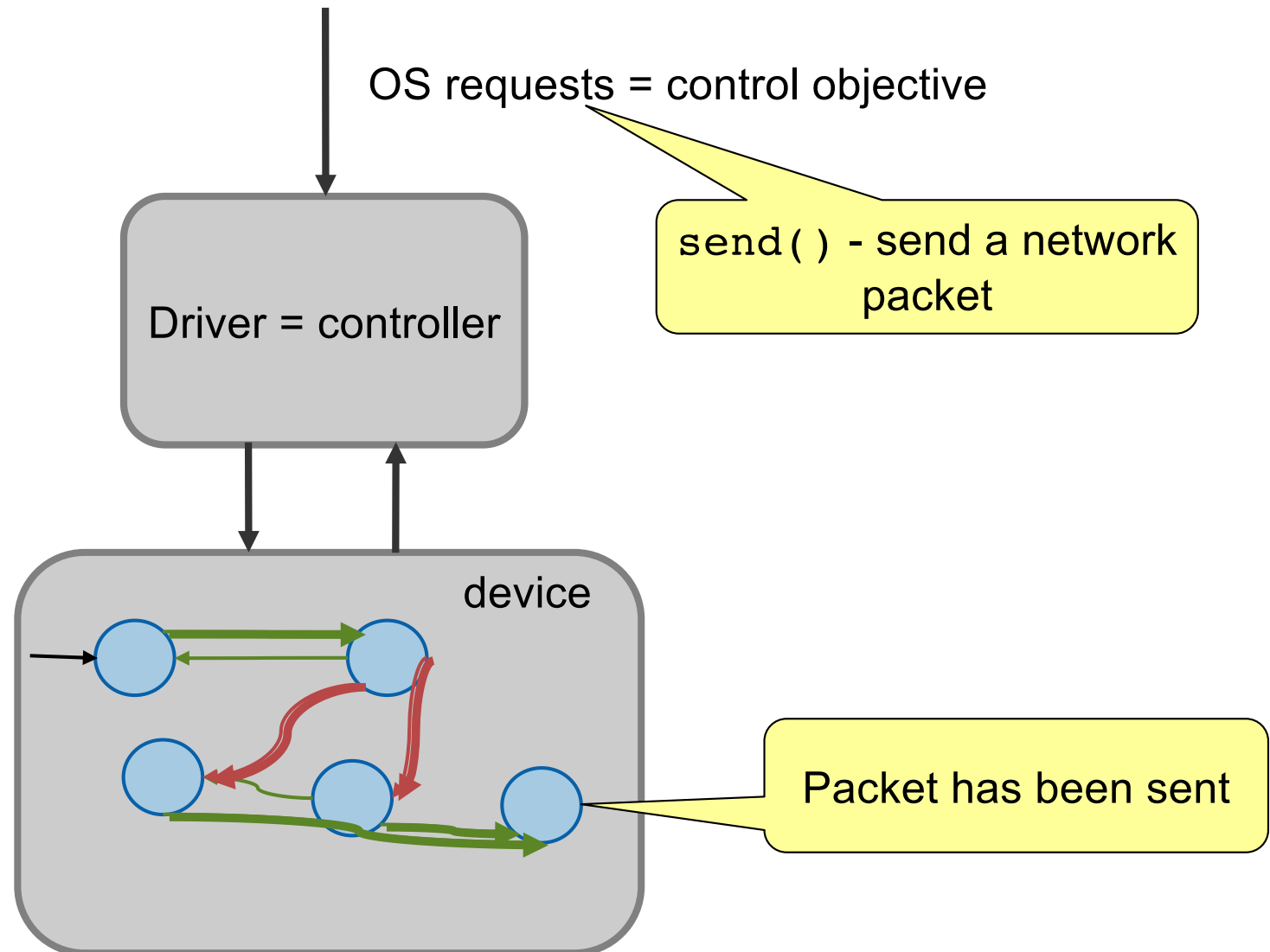
Driver Development



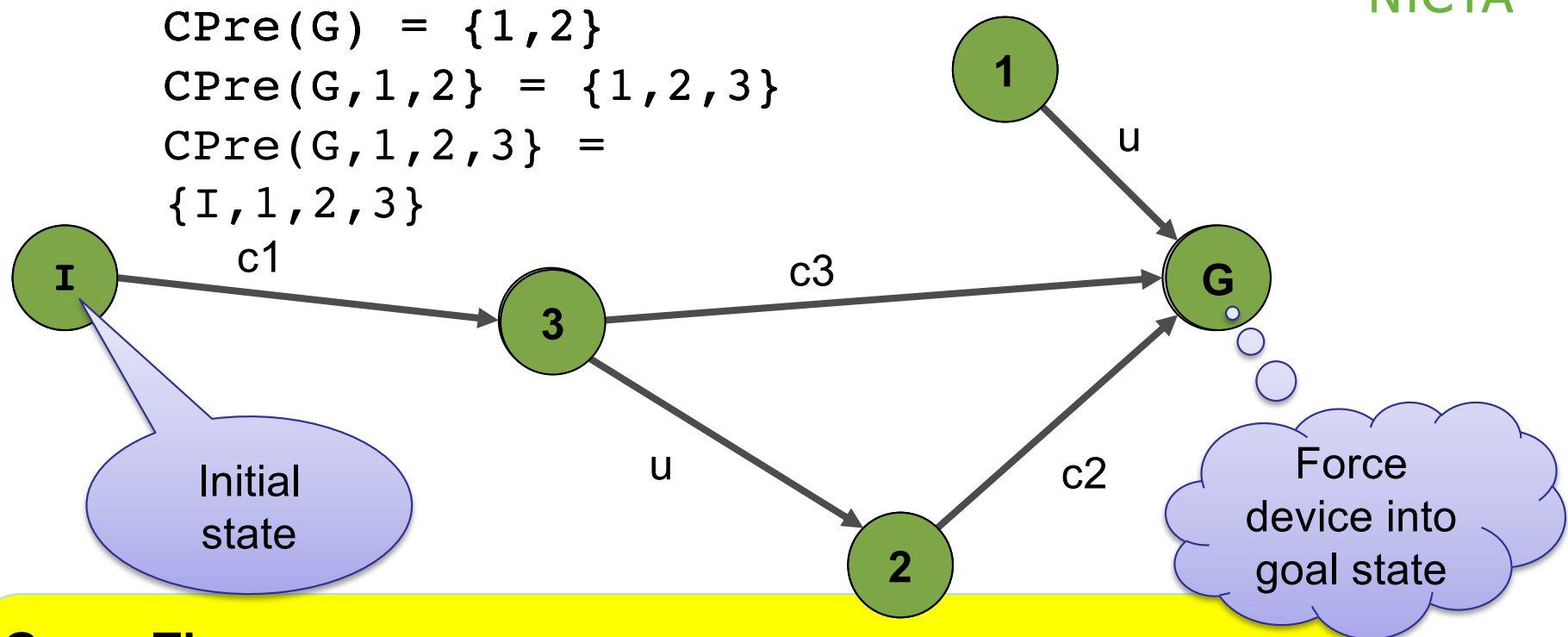
Driver Development



Driver Synthesis as Controller Synthesis



Synthesis Algorithm (Main Idea)



Game Theory

- Framework for verification and synthesis of reactive systems
- Provides classification of games and complexity bounds
- Provides algorithms for winning strategies!

Device driver!

Drivers Synthesised (To Date)



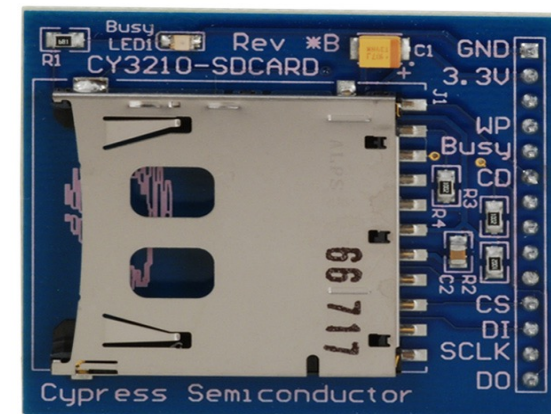
IDE disk controller



W5100 Eth shield

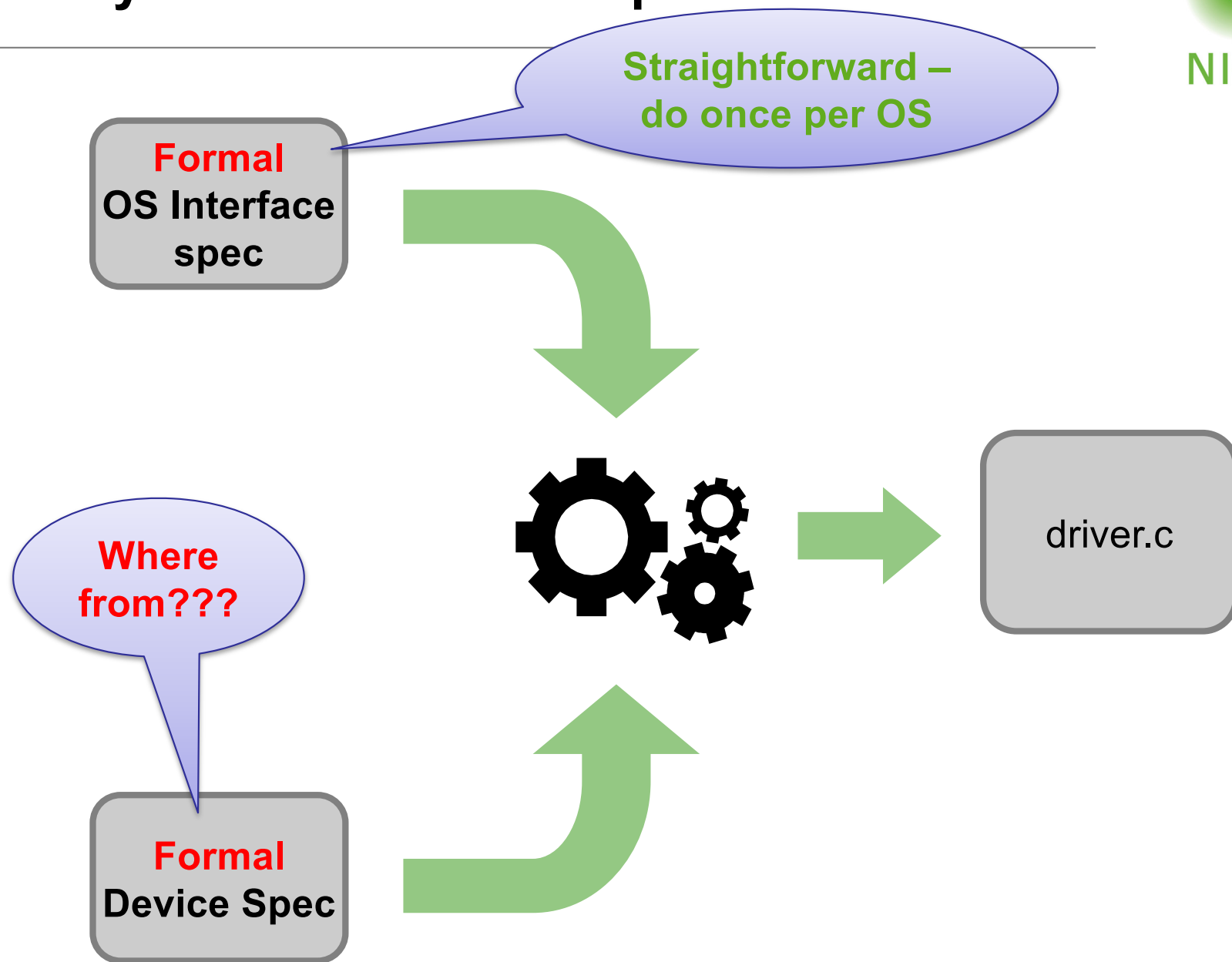


Asix AX88772
USB-to-Eth adapter

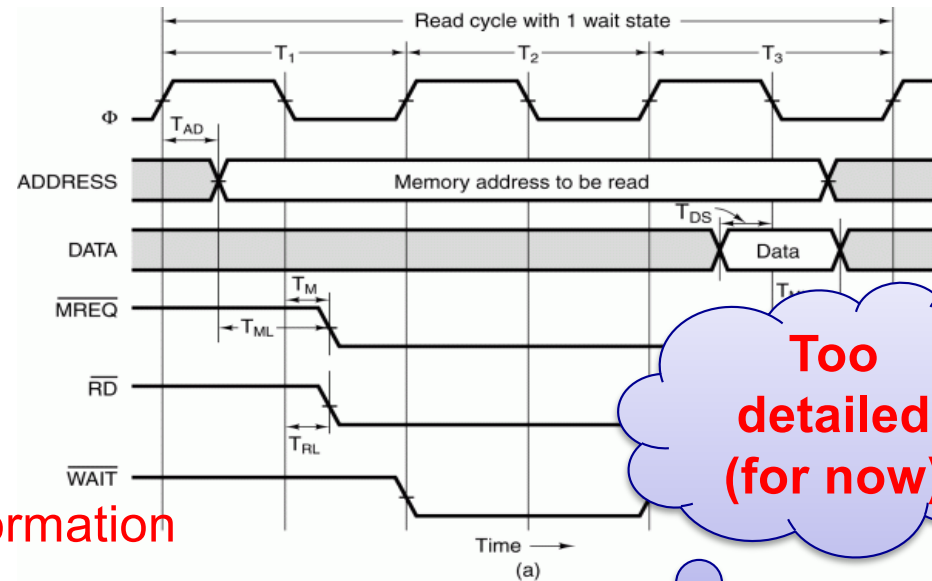
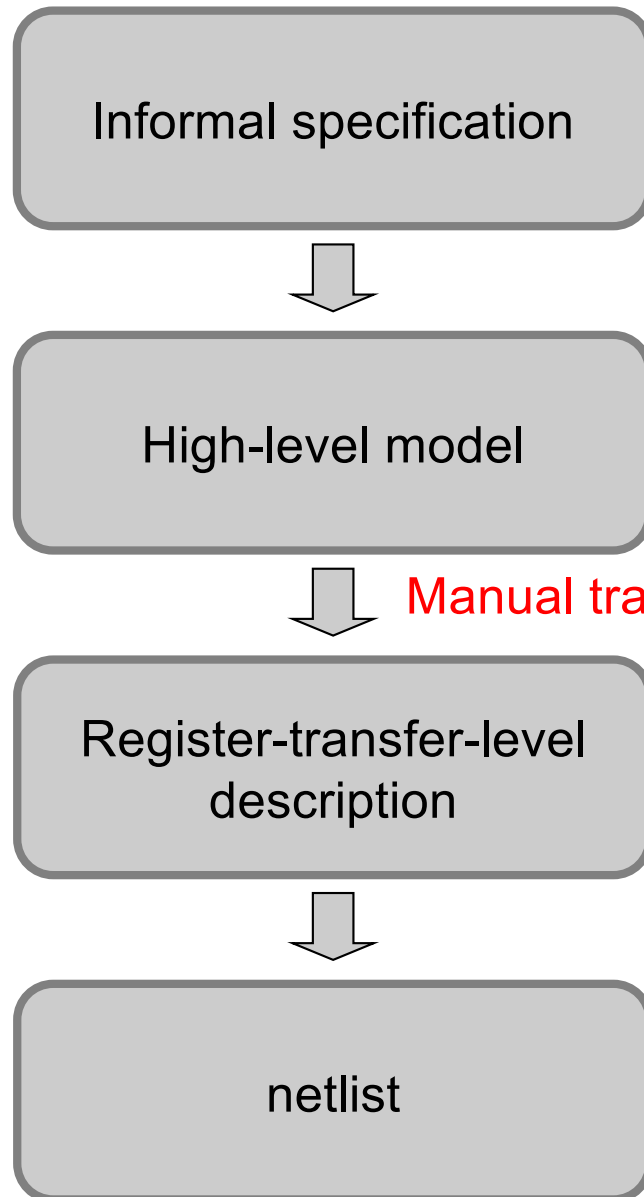


SD host controller

Driver Synthesis: Interface Specs



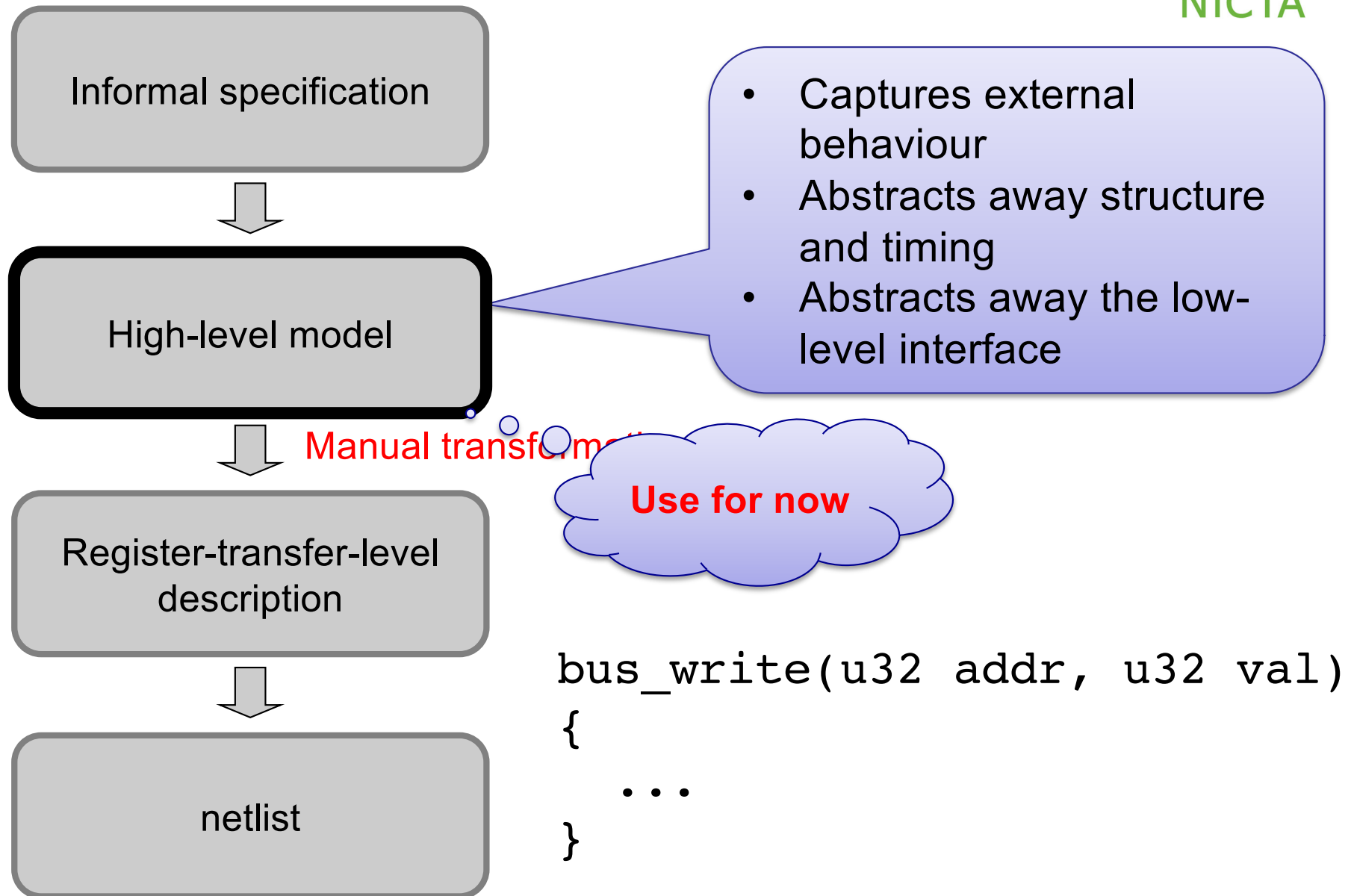
Hardware Design Workflow



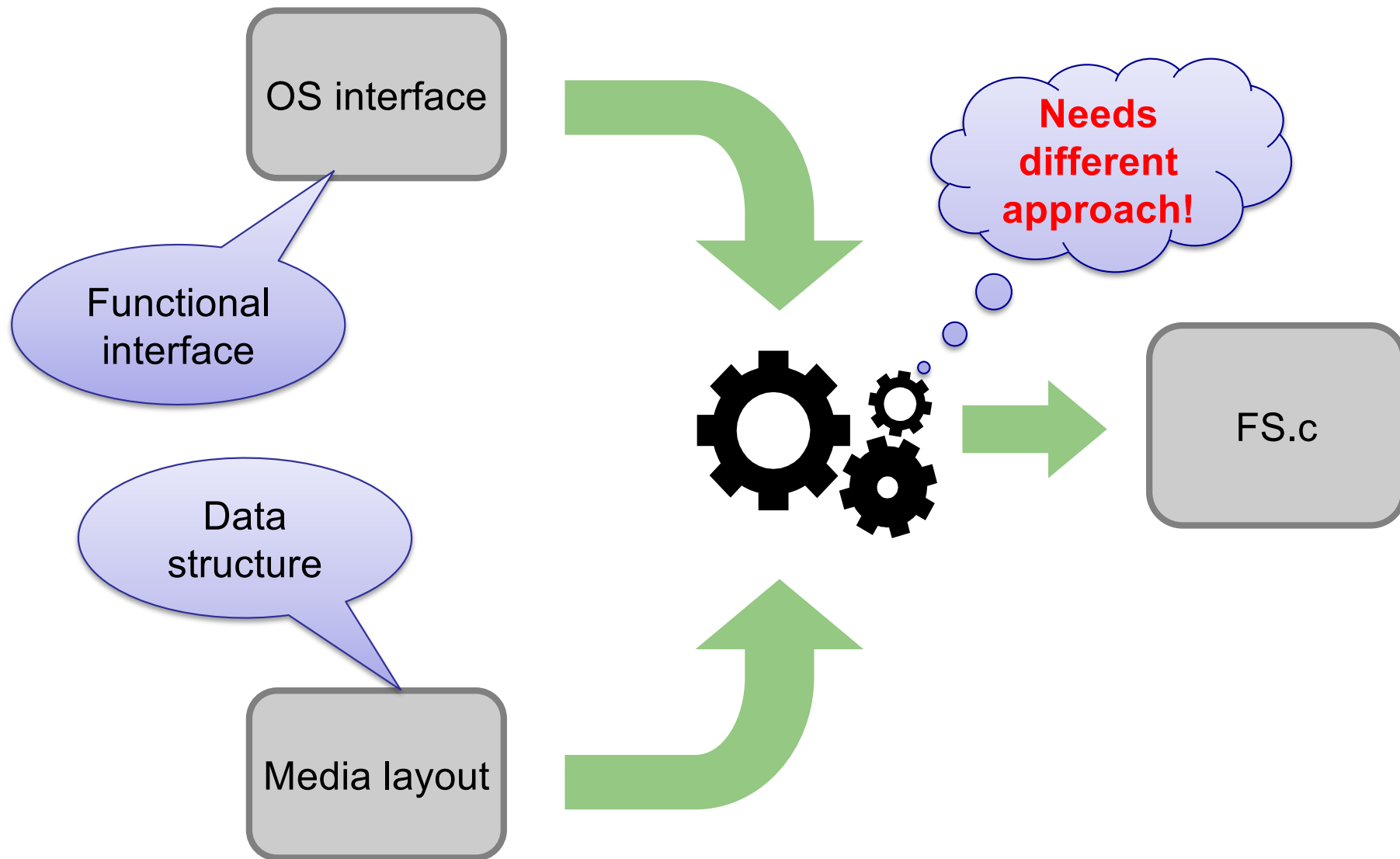
Too
detailed
(for now)

- Low-level description: registers, gates, wires.
- Cycle-accurate
- Precisely models internal device architecture and interfaces
- “Gold reference”

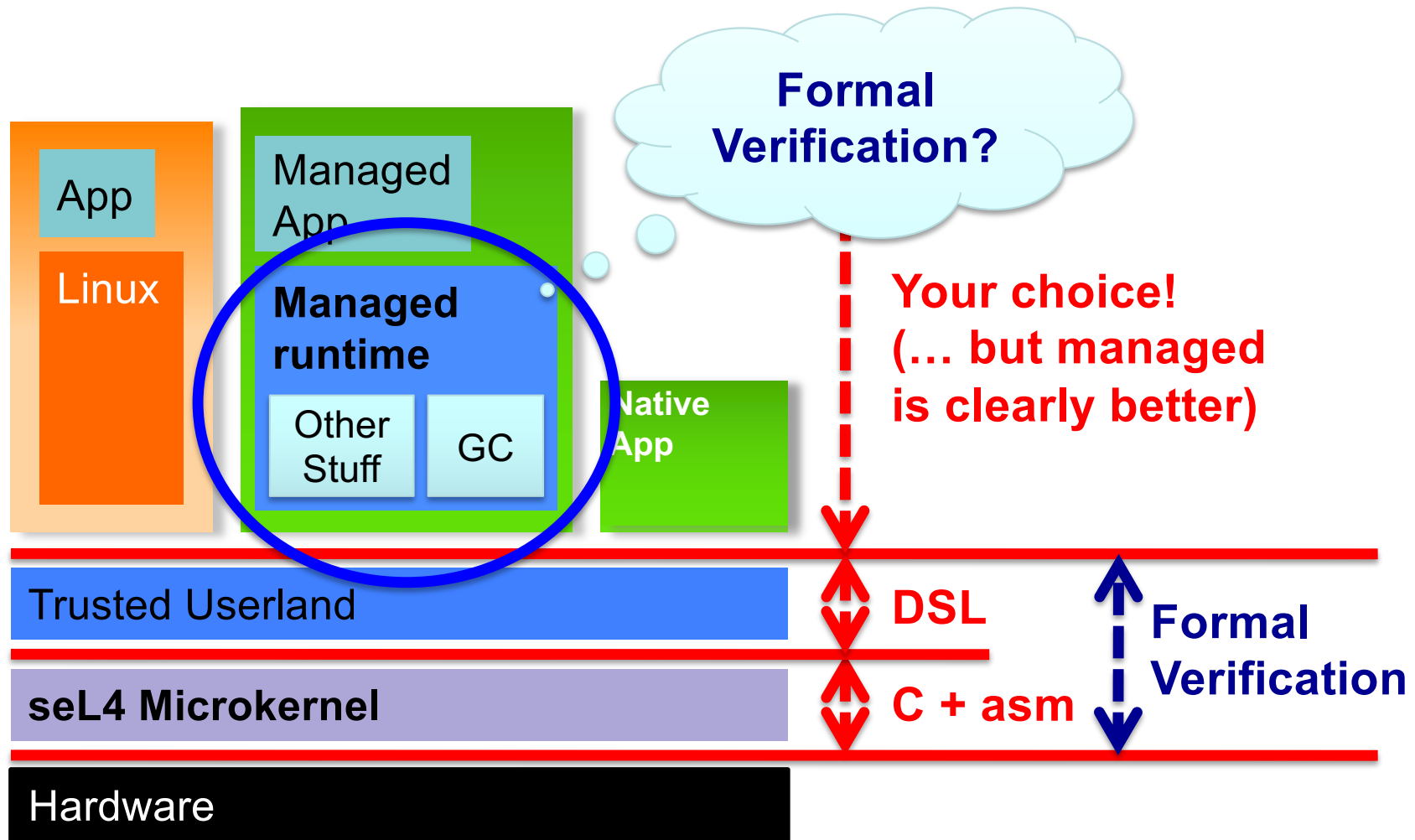
Hardware Design Workflow



From Drivers to File Systems?



Building Secure Systems: Long-Term View

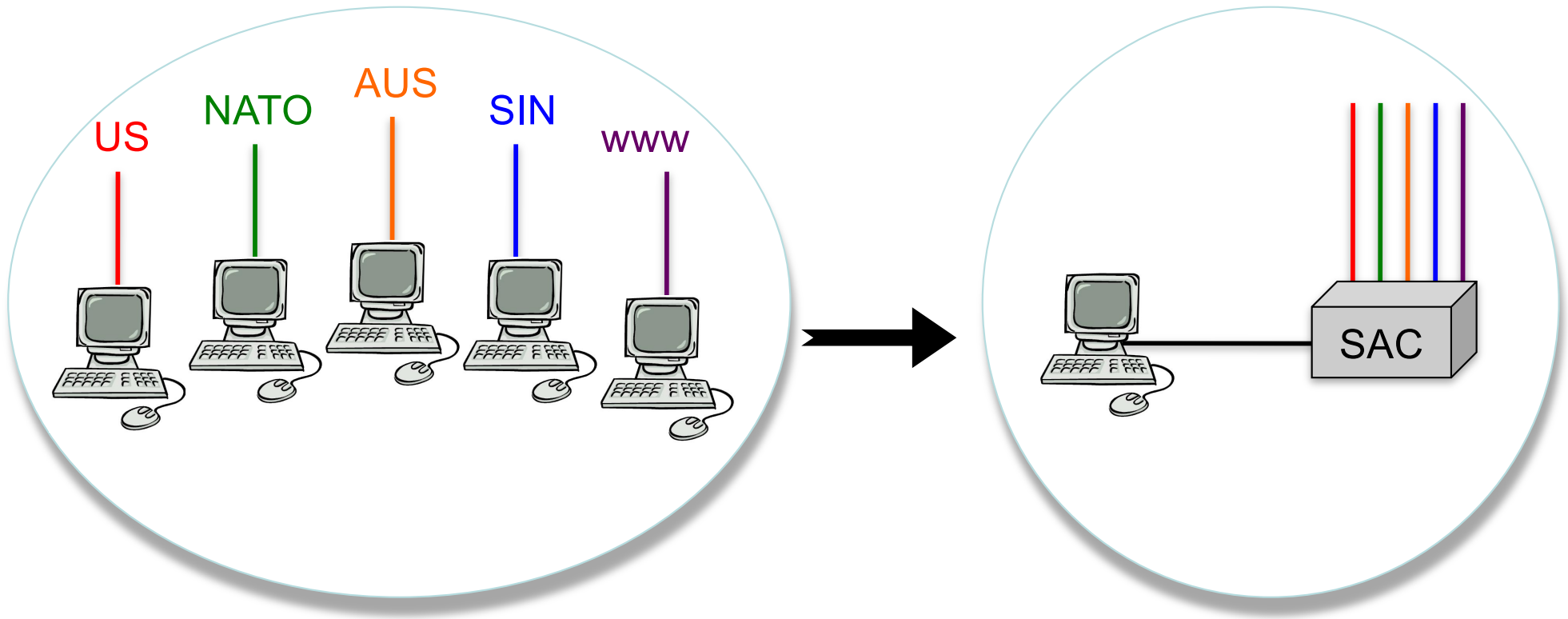


Agenda

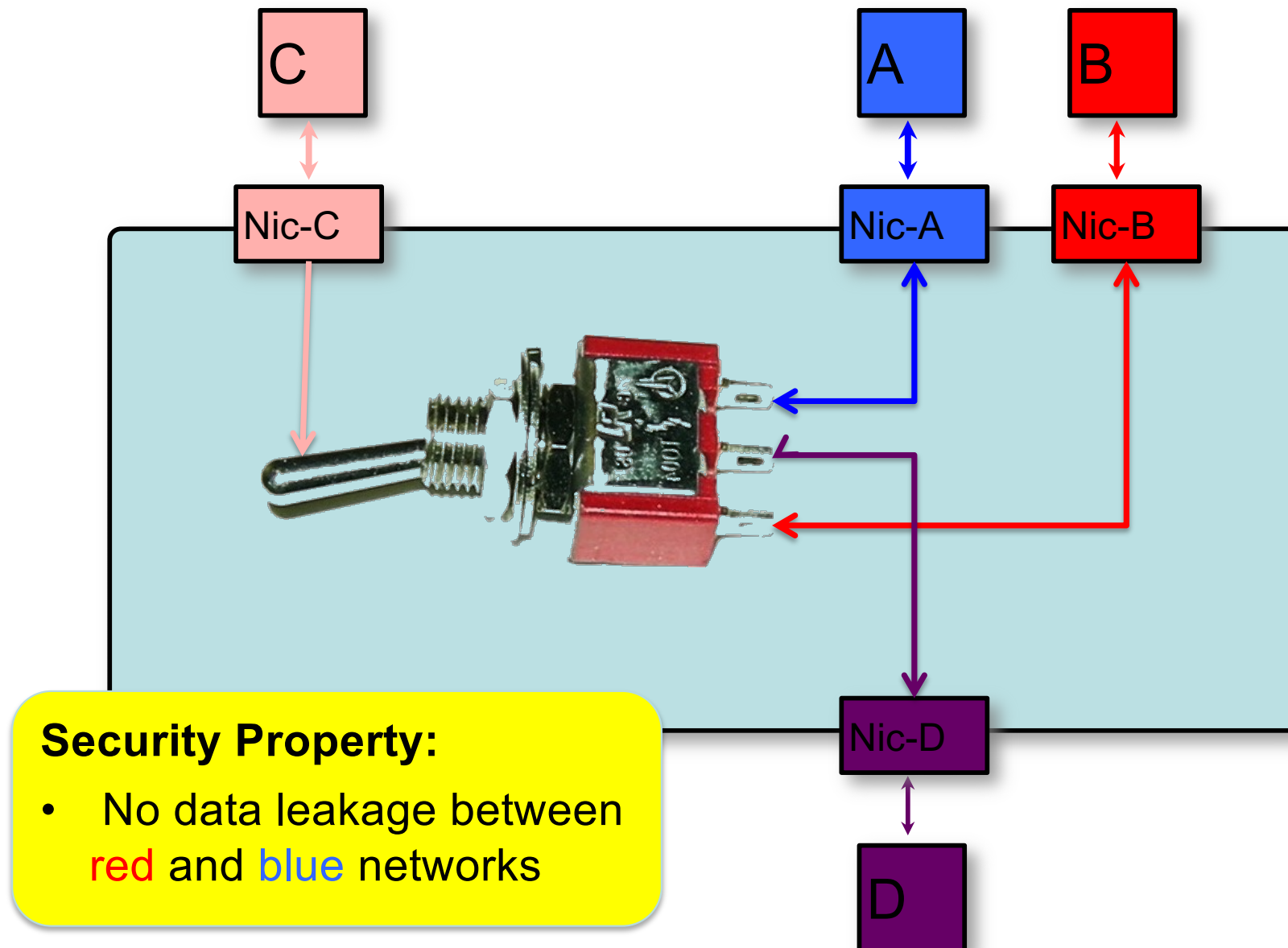


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- Establishing trustworthiness
- From kernel to system
- **Sample system: Secure access controller**

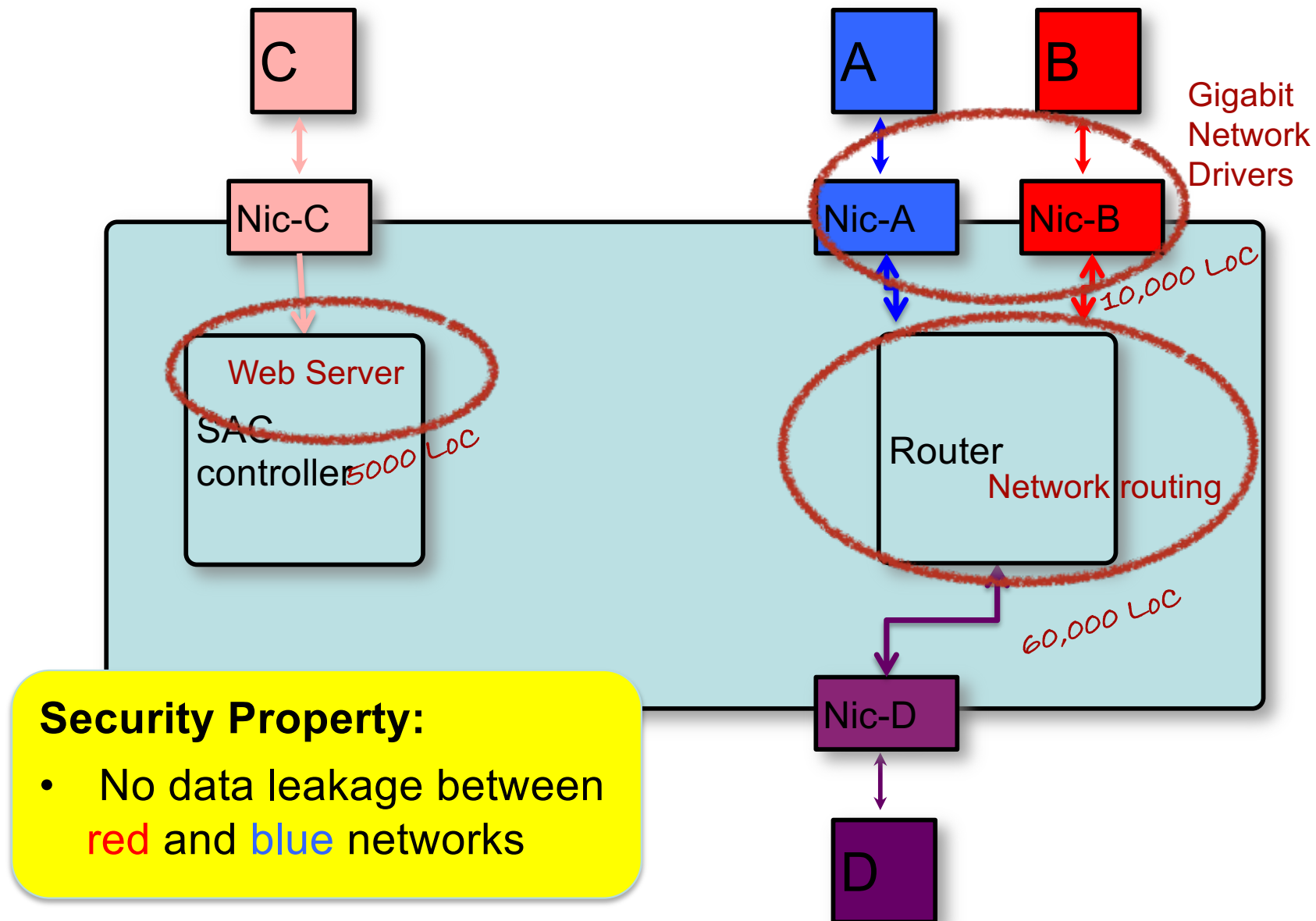
Proof of Concept: Secure Access Controller



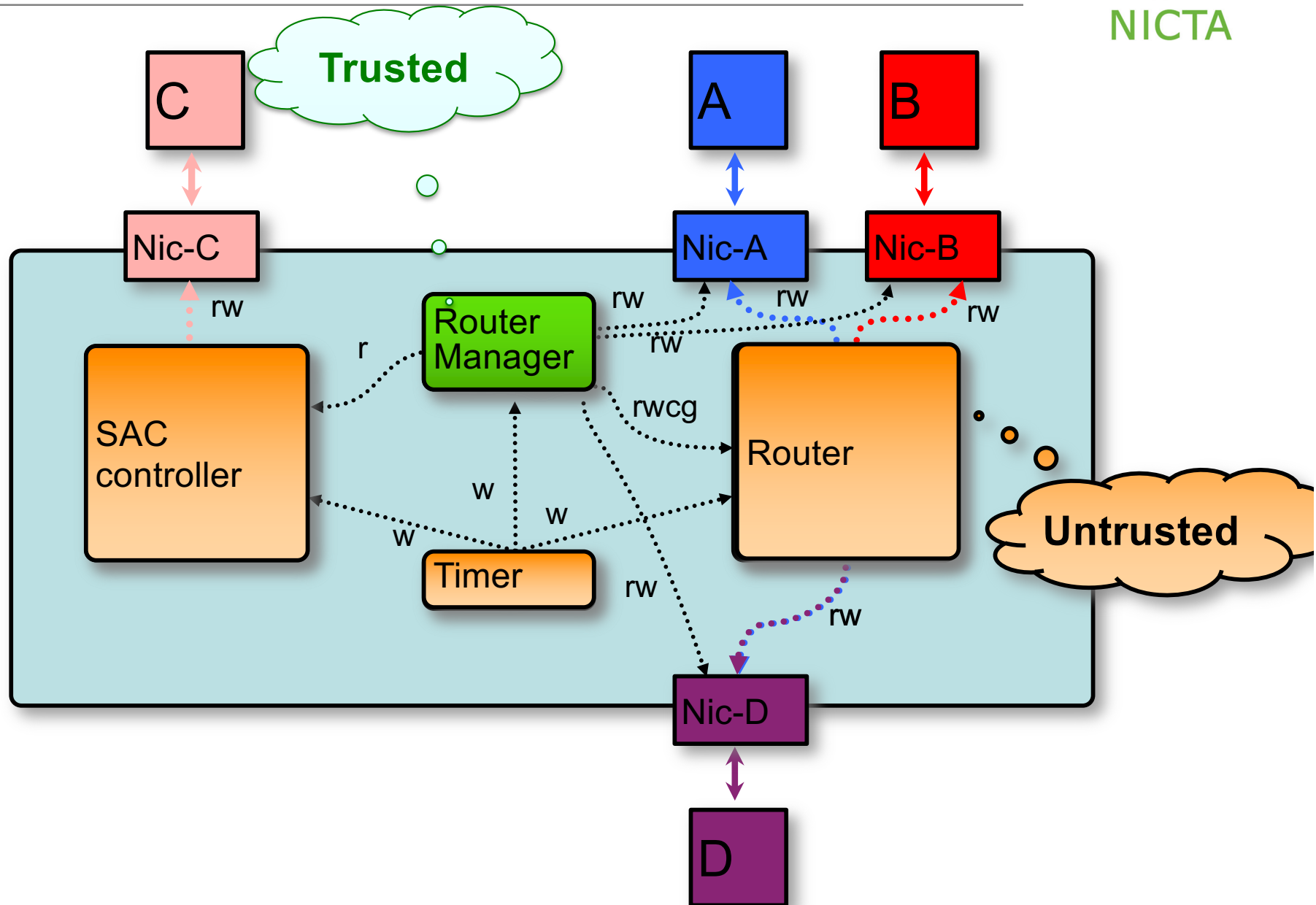
Logical Function



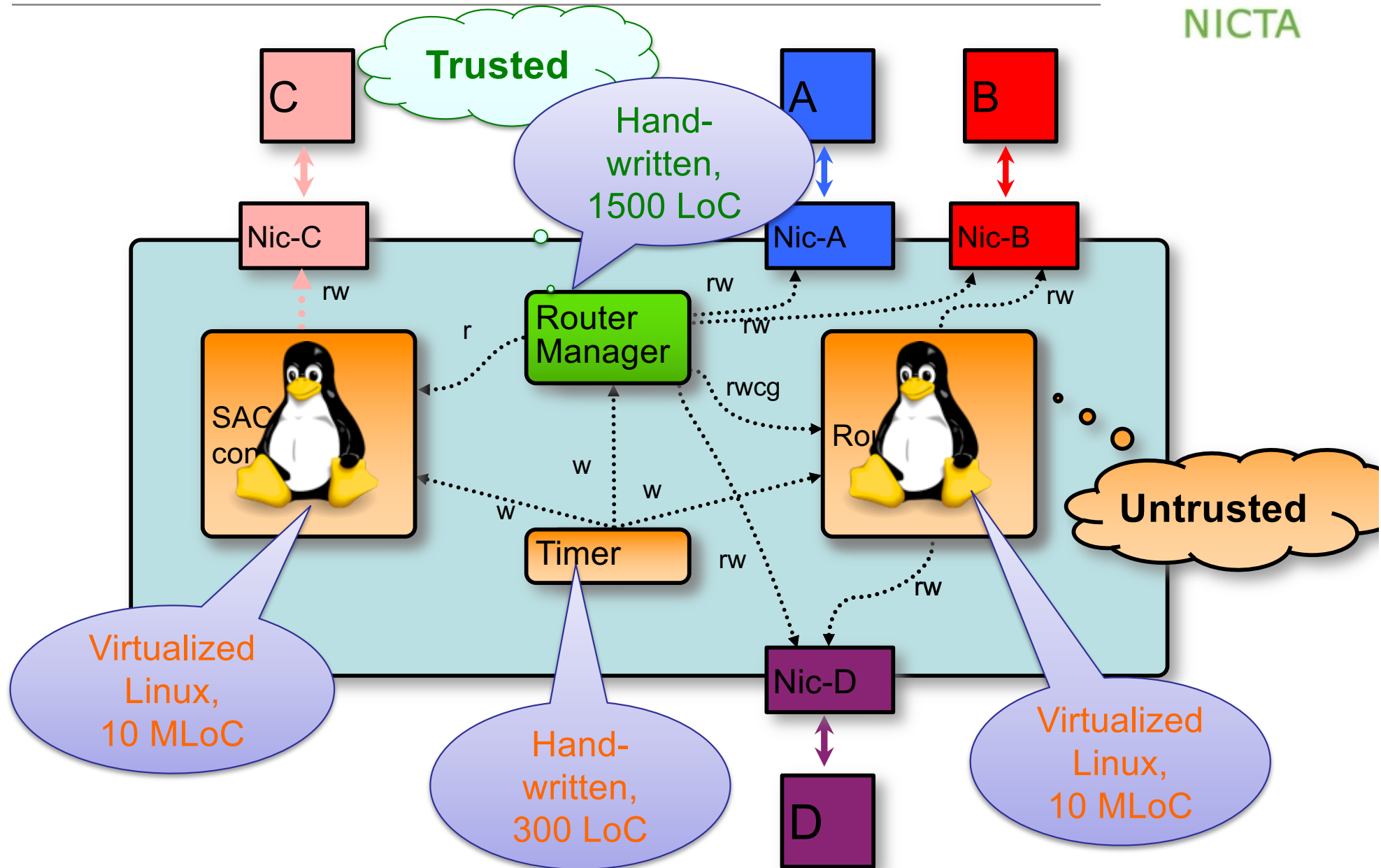
Logical Function



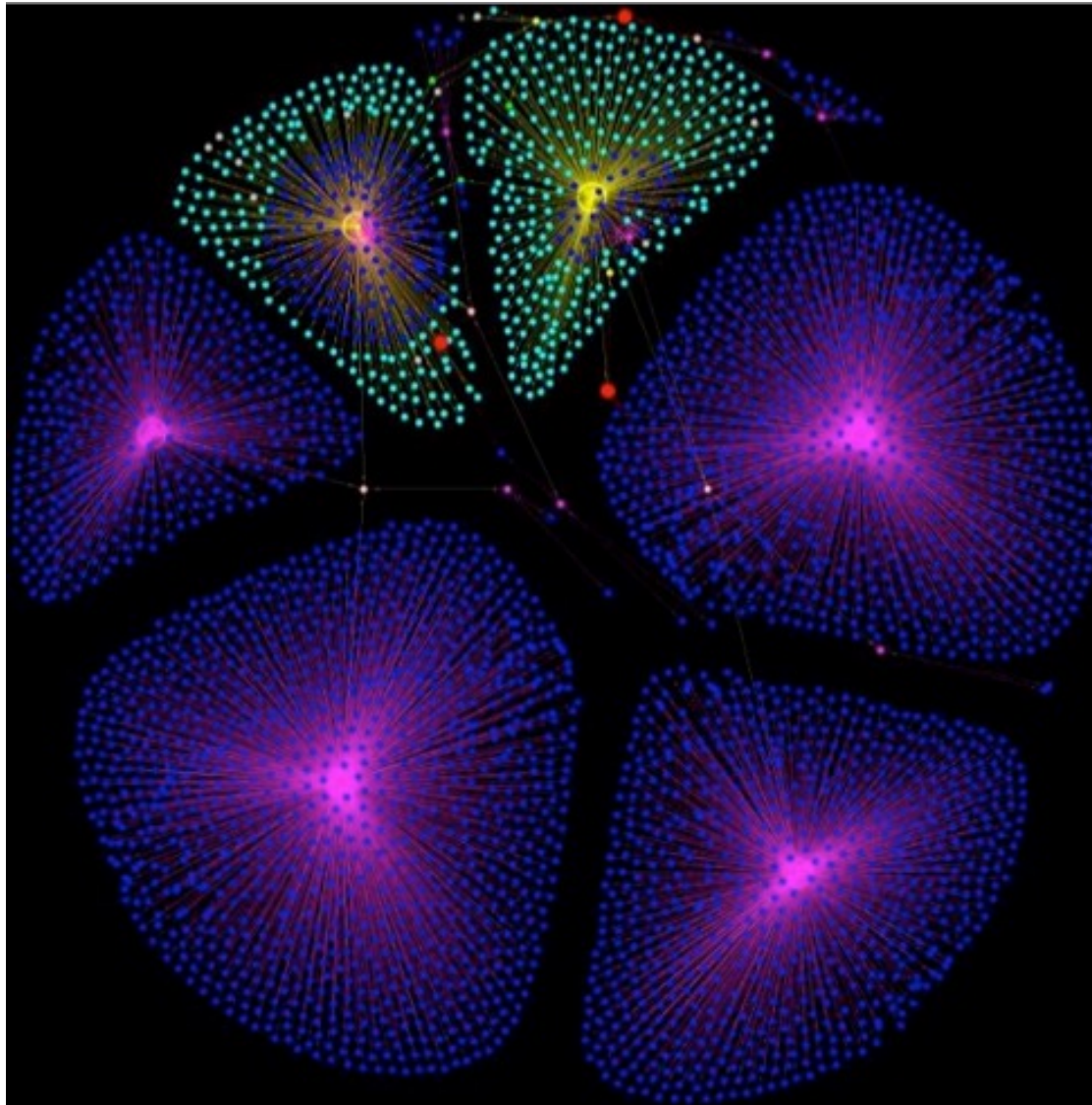
Minimal TCB



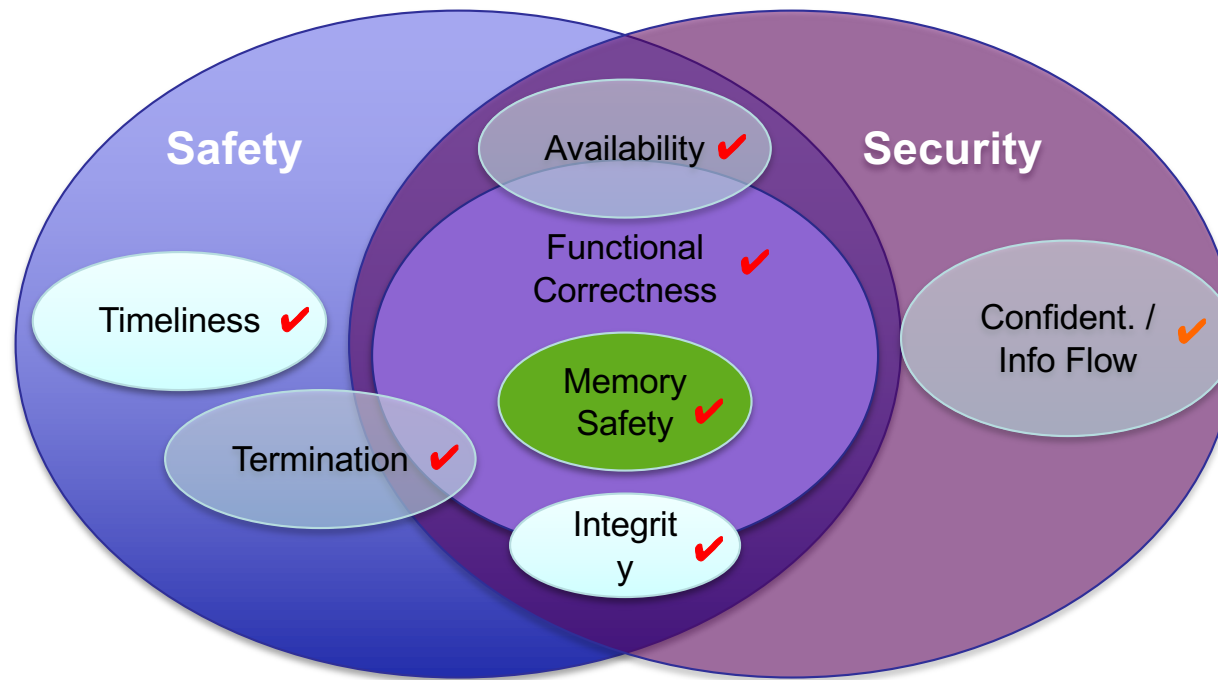
Implementation



Access Rights



Trustworthy Systems – We’ve Made a Start!



Thank You!

<mailto:gernot@nicta.com.au>

Twitter @GernotHeiser

Google: “nicta trustworthy systems”